

PCT

WORLD INTELLECTUAL  
PROPERTY ORGANIZATION

## INTERNATIONAL APPLICATION PUBLISHED

(51) International Patent Classification<sup>6</sup> :

C12N 15/12, 5/10, A61K 35/12

A2

WO 9602646A2

(43) International Publication Date: 1 February 1996 (01.02.96)

(21) International Application Number: PCT/US95/09281

(22) International Filing Date: 20 July 1995 (20.07.95)

(30) Priority Data:

08/279,773	20 July 1994 (20.07.94)	US
08/432,698	9 May 1995 (09.05.95)	US

(71) Applicant: CYTOTHERAPEUTICS, INC. [US/US]; 2 Richmond Square, Providence, RI 02906 (US).

(72) Inventors: SCHINSTINE, Malcolm; 116 Oriole Drive North, Ben Salem, PA 19020 (US). SHOICHET, Molly, S.; Dept. of Chemical Engineering, University of Toronto, Room 213, 200 College Street, Toronto, Ontario M5S 1A4 (US). GENTILE, Frank, T.; 57 Lawn Avenue, Warwick, RI 02888 (US). HAMMANG, Joseph, P.; 3 Prospect Street, Barrington, RI 02806 (US). HOLLAND, Laura, M.; 1009 Davis Grove Road, Horsham, PA 19044 (US). CAIN, Brian, M.; 48 Irving Street, Everett, MA 02149 (US). DOHERTY, Edward, J.; 10 Nathan Road, Mansfield, MA 02048 (US). WINN, Shelley, R.; 13 Haven Street, Smithfield, RI 02917 (US). AEBISCHER, Patrick; Chemin de Plantaz 65, CH-1095 Lutry (CH).

(74) Agents: HALEY, James, F., Jr. et al.; Fish &amp; Neave, 1251 Avenue of the Americas, New York, NY 10020-1104 (US).

(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).

## Published

*Without international search report and to be republished upon receipt of that report.*

(54) Title: GROWTH CONTROL FOR CELLS ENCAPSULATED WITHIN BIOARTIFICIAL ORGANS

## (57) Abstract

This invention relates to methods and compositions of controlling cell distribution within a bioartificial organ by exposing the cells to a treatment that inhibits cell proliferation, promotes cell differentiation, or affects cell attachment to a growth surface within the bioartificial organ. Such treatments include (1) genetically manipulating cells, (2) exposing the cells to a proliferation-inhibiting compound or a differentiation-inducing compound or removing the cells from exposure to a proliferation-stimulating compound or a differentiation-inhibiting compound; exposing the cells to irradiation, and (3) modifying a growth surface of the BAO with ECM molecules, molecules affecting cell proliferation or adhesion, or an inert scaffold, or a combination thereof. These treatments may be used in combination.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

GROWTH CONTROL FOR CELLS  
ENCAPSULATED WITHIN BIOARTIFICIAL ORGANS.

Field of the Invention

5           This invention relates to methods and compositions for controlling growth of cells encapsulated in a bioartificial organ.

Background of the Invention

10           Bioartificial organs "BAO" are devices which contain living cells and are designed to provide a needed metabolic function to a host.

          The cells encapsulated in BAOs supply one or more biologically active molecules to the host that may be used to prevent or treat many clinical conditions, deficiencies, and disease states.

15           For example, BAOs containing insulin secreting cells may be used to treat diabetes. Similarly other diseases such as hypoparathyroidism and anemia may be treated by using cells which secrete parathyroid hormone and erythropoietin, respectively.

20           Bioartificial organs may also be used to supply biologically active molecules for the treatment or prevention of neurodegenerative conditions such as Huntington's disease, Parkinson's disease, Alzheimer's disease, and Acquired Immune Deficiency Syndrome-related dementia. Additionally, lymphokines and cytokines may also be supplied by BAOs to modulate the

- 2 -

host immune system. Other biologically active molecules which may be provided by bioartificial organs include, catecholamines, endorphins, enkephalins, and other opioid or non-opioid peptides that are useful for treating pain. Enzymatic deficiencies may also be treated by using BAOs. Alternatively, the biologically active molecule may remove or eliminate deleterious molecules from the host. For example, a BAO may contain cells which produce a biologically active molecule that can be used to "scavenge" cholesterol from a host.

Various "macrocapsule" BAOs are known. See, e.g., Aebischer (United States Patent 5,158,881), Dionne et al. (WO 92/03327), Mandel et al. (WO 91/00119), Aebischer (WO 93/00128). BAOs also include extravascular diffusion chambers, intravascular diffusion chambers, intravascular ultrafiltration chambers, and microcapsules. See, e.g., Lim et al., Science 210:908-910 (1980); Sun, A.M., Methods in Enzymology 137: 575-579 (1988); Dunleavy et al. (WO 93/03901) and Chick et al. (United States Patent 5,002,661).

Because the cells encapsulated in the BAO provide the needed metabolic function, it is desirable that those cells optimally supply the biologically active molecule that effects that function. Typically, differentiated, non-dividing cells may be preferred over dividing cells for use in BAOs because they allow for the optimal production of the desired biologically active molecule. For example, many differentiated, non-dividing cells produce a greater quantity of a desired therapeutic protein than dividing cells because the expression of differentiation specific genes and cell division are thought to be antagonistic processes.

Wollheim, "Establishment and Culture of Insulin-

- 3 -

Secreting  $\beta$  Cell Lines," Methods in Enzymology, 192, p. 223-235 (1990). Cellular replication capacity decreases as cells differentiate. In many cases, proliferation and differentiation are mutually  
5 exclusive. Gonos, "Oncogenes in Cellular Immortalisation and Differentiation," 13, Anticancer Research, p. 1117 (1993).

The use of differentiated tissue is advantageous because the functional properties of  
10 tissue desired for incorporation into a BAO have most often been defined by the properties of differentiated tissue in vivo. Another advantage to the use of differentiated, non-dividing cells is that the cell number within the BAO will remain relatively constant.  
15 This, in turn, leads to more predictable results and stable dosage for the recipient host. Additionally, differentiated cells are better suited for use in BAOs which encapsulate more than one cell type secreting biologically active molecules. In such BAOs, if  
20 dividing cells are used, different cell types may grow at different rates, resulting in the overgrowth of one cell type. By using differentiated, non-dividing cells, the relative proportions of two or more synergistic cell types can be more readily controlled.

25 Although in many instances the use of differentiated cells is advantageous, there have been various problems associated with utilizing differentiated cells directly isolated from mammals.

First, there is the potential contamination  
30 of the isolated tissue which may require that the tissue taken from each animal be subjected to costly and time-consuming testing to assure that it is pathogen-free.

Second, tissue can be damaged during  
35 isolation due to the use of mechanical or enzymatic

- 4 -

isolation procedures in the isolation process. The mechanical manipulations are not always easily standardized, resulting in variability between isolations.

5 Third, ischemia may occur during isolation causing tissue damage.

Fourth, reproducible yields may be difficult because of variations in tissue donors. For example, the age, sex, health, hormonal status of the source  
10 animal can affect the yield and quality of the tissue of interest.

Fifth, sometimes there is not enough source tissue to meet the projected demand for the BAO. This occurs for example, in a case where the source tissue  
15 comes from a small sized organ or where the ultimate need for tissue amounts is high. If the source of the isolated tissue is human, there is frequently a severe shortage of donor tissue.

Sixth, in some cases, it is desirable to  
20 genetically modify the cells used in the BAO. Non-dividing tissue to date has been difficult to genetically modify in vitro and the yields and properties of the modified cells may be uncertain. Thus, because of the foregoing problems, while the use  
25 of differentiated, non-dividing cells is desirable, a need exists for a method of producing and maintaining differentiated, non-dividing cells for encapsulation in BAOs.

Because of these problems, dividing cells and  
30 cell lines have been favored for use within BAOs to provide the needed biological function. One important advantage in using dividing cells is that such cells may be grown to large numbers in vitro and screened for pathogens and banked. This allows an almost unlimited  
35 supply of tissue for lower production costs. Selection

- 5 -

schemes such as cell sorting or cloning may be applied to the cell bank to develop subpopulations with improved characteristics. Additionally, dividing cells and cell lines are more amenable to genetic engineering than differentiated, non-dividing cells. The ability to introduce heterologous recombinant DNA allows many new possibilities for the alteration of the function or phenotype of cells to be encapsulated in the BAO. This in turn provides for a greater diversity of therapeutic uses for BAOs.

However, as discussed supra, the disadvantages in encapsulating continuously dividing cells in a BAO include poor regulation of cell numbers in the device that may result in less predictability in production of the desired biologically active molecule.

While in most cases it may be desirable to limit or minimize cell growth within the BAO, in other cases, e.g., where the BAO is implanted in a "hostile" environment, it may be desirable to allow the cells to proliferate slowly to maintain cell numbers in the BAO.

There is another problem associated with encapsulating cells in general. A variety of cell types have cell adherent properties such that cells tend to adhere to each other and form dense agglomerations or aggregates, especially if there is no adequate substrate available for the cells. Such cell clusters may develop central necrotic regions due to the relative inaccessibility of nutrients and oxygen to cells embedded in the core, or due to the build up of toxic products within the core. The necrotic tissue may also release excess cellular proteins which unnecessarily flood the host with xeno-proteins or other factors which are detrimental to the surviving cells, e.g., factors which elicit a macrophage or other immune response. This problem may be exacerbated when

- 6 -

cells are encapsulated in a BAO with a semipermeable membrane jacket because of diffusional constraints across the membrane. Often less oxygen and fewer host supplied nutrients are available within the BAO. In addition, waste products may accumulate in the BAO.

These dense cellular masses can form slowly into dense colonies of cell growth or form rapidly, upon the reassociation of freshly-dispersed cells or tissue mediated by cell-surface adhesion proteins.

Cells or tissues with a high metabolic activity may be particularly susceptible to the effects of oxygen or nutrient deprivation, and die shortly after becoming embedded in the center of a large cell cluster. Many endocrine tissues, which normally are sustained by dense capillary beds, exhibit this behavior; islets of Langerhans appear to be particularly sensitive when encapsulated.

There is a need to have a method and composition for controlling the growth of encapsulated cells which combines the various advantages of both proliferating cells and differentiated, non-dividing cells. The present invention provides methods and compositions whereby cells can be proliferated and expanded indefinitely in vitro and where the balance between proliferation and differentiation can be controlled when the cells are encapsulated within the BAO so that the device performs in the desired manner. This invention thus allows regulation of the cell number within the BAO and may therefore provide improved regulation of the output level of the capsule. This invention also provides methods for controlling the growth of cells by controlling cell location within the BAO, thereby reducing the formation of undesirable necrotic cell cores in the BAO. Controlling the cell number and cell location within the BAO also provides



- 7 -

the advantage of facilitating optimization of the BAO membrane and other device parameters to the particular encapsulated cell type. This is because the required device characteristics are more readily determined for a fixed cell population than for a dividing cell population in the BAO. Additionally, long term delivery of biologically active molecules can be achieved.

#### Summary of the Invention

10           The present invention addresses the foregoing problems by providing methods and compositions for controlling the distribution of cells (i.e. cell number or cell location in the BAO, or both) when encapsulated in a BAO. The methods and compositions of this invention include (1) methods and compositions for 15 modification of the cells that are encapsulated within the BAO and (2) methods and compositions for modifying the growth surfaces within the BAO.

          Methods and compositions for cellular manipulation include genetic alteration of the cells 20 with a gene which encodes a product that influences cell proliferation or differentiation. The treatment may comprise providing a chemical compound or growth factor which inhibits proliferation or induces differentiation. Alternatively, the treatment may 25 comprise removing from the growth medium a chemical compound or growth factor which stimulates proliferation or inhibits differentiation. The treatment may be before or after encapsulation in the BAO, preferably before encapsulation. Additionally, 30 cell proliferation may be controlled by irradiation.

          Methods and compositions for growth surface modification include coating at least one growth surface within the BAO with one or more extracellular

- 8 -

matrix molecules ("ECM"). The ECMs may be coated directly onto the luminal surface or any inner support within the BAO, or onto microsphere carriers ("microcarriers"). Cells or cell-seeded microcarriers may additionally be suspended in a matrix material that physically inhibits cell proliferation. Further, the matrix material may be derivatized with chemical or peptide derivatives.

In addition, a growth surface of the BAO can be modified by chemical treatment to inhibit cell attachment or to enhance cell attachment to the BAO's luminal surface. Further, the growth surface can be modified by addition of an inert scaffold prior to cell loading. The scaffold physically inhibits cell outgrowth and provides additional sites for cell attachment. It is to be understood that the various methods and compositions for cell modification and for growth surface modification are not mutually exclusive and may be used in combination.

#### Brief Description of The Drawings

Figure 1 depicts the plasmid map of a construct containing a 2.3 kb fragment of the murine Mx1 promoter fused to SV40 early region, followed by a BamH1-Xba1 fragment from mouse beta globin 3' untranslated region.

Figure 2 shows NGF secretion (ng/ml/24 h) after 4, 11 and 25 days from BHK cells encapsulated in control, underivatized membranes (shown as "0%" in legend) or 1% or 5% PEO-PDMS derivatized membranes (shown as "1%" and "5%", respectively, in legend). Cells were encapsulated with no matrix (shown as "no mat" in legend), a Vitrogen<sup>®</sup> matrix (shown as "vit" in legend), or an agarose matrix (shown as "agse" in legend).

- 9 -

Figure 3 shows NGF release from BHK cells grown on Cultispheres™ in the absence of an agarose matrix (legend: n-mat-008, 0709-n-m) or in the presence of an agarose matrix (legend: agaro-008, 5 agaro-0709).

Figure 4 shows release of catecholamines from PC12A cells at 1, 14 and 28 days after encapsulation in BAOs having a inert PHEMA scaffold. Panel A shows basal catecholamine release; Panel B shows K<sup>+</sup>-evoked 10 catecholamine release. The abbreviations L-dopa, NEPI, epi, DOPAC, DA and HVA in the legend represent L-dopa, norepinephrine, epinephrine, dopac, dopamine, and homovanillic acid, respectively.

Figure 5 shows release of catecholamines from 15 PC12A cells at 1, 14 and 28 days after encapsulation in BAOs having a inert PHEMA/MMA scaffold. Panel A shows basal catecholamine release; Panel B shows K<sup>+</sup>-evoked catecholamine release. The abbreviations L-dopa, NEPI, epi, DOPAC, DA and HVA in the legend represent L-dopa, 20 norepinephrine, epinephrine, dopac, dopamine, and homovanillic acid, respectively.

Figure 6 shows release of L-dopa from SV40/DB4-NGF cells grown on Cultispheres™ in the presence of an alginate matrix (legend: CS/AL) or in 25 the presence of an agarose matrix (legend: CS/AG) at 2, 20, 40 and 80 days after encapsulation in BAOs.

### Detailed Description of the Invention

#### Definitions

As used herein, a "bioartificial organ" or 30 "BAO" is a device which may be designed for implantation into a host or which may be made to function extracorporeally and either be permanently or removably attached to a host. A BAO contains cells or living tissues which produce a biologically active

- 10 -

molecule that has a therapeutic effect on the host. The BAO, upon implantation in a host recipient, should be biocompatible. Accordingly, the BAO should not elicit a detrimental host response sufficient to render it inoperable or not therapeutically useful. Such inoperability may occur, for example, by formation of a fibrotic structure around the capsule limiting diffusion of nutrients to the cells therein. Detrimental effects may also include rejection of the capsule or release of toxic or pyrogenic compounds (e.g. synthetic polymer by-products) from the BAO to surrounding host tissue.

BAOs comprising encapsulated cells may be constructed with immunoisulatory properties which hinder elements of the host immune system from entering the organ, thereby protecting the cells contained within the bioartificial organ from detrimental immune destruction. The use of a BAO increases the diversity of cell types that can be employed in therapy. In implanted BAOs, the devices, which may or may not be immunoisulatory, usually contain the cells or tissues producing a selected product within a semi-permeable physical barrier which will allow diffusion of nutrients, waste materials, and secreted products into surrounding host tissue and retain the contained cells, but minimize the deleterious effects of the cellular and molecular effectors of immunological rejection. Immunoisulatory properties, however, may not be necessary in all cases (e.g., if the cells are autologous or syngeneic to the host).

A "biologically active molecule" is one which (a) may function within the cell in which it is made or (b) may be expressed on the cell surface and affect the cell's interactions with other cells or biologically active molecules (e.g., a neurotransmitter receptor or

- 11 -

cell adhesion molecule), or (c) may be released or secreted from the cell in which it is made and exert its effect on a separate target cell or target molecule in the host (e.g., a neurotransmitter, hormone, growth factor, or cytokine).

As used herein, unless otherwise specified, the term "cells" means cells in any form, including but not limited to cells retained in tissue, cell clusters, and individually isolated cells. The cells used in this invention produce at least one biologically active molecule.

Control of cell distribution within the BAO refers to control of the cell number in the BAO, control of the spatial location of cells within the BAO, or both.

A wide variety of cells may be used in this invention. These include well known, publicly available immortalized cell lines as well as dividing primary cell cultures. Examples of publicly available cell lines suitable for the practice of this invention include, L-6 cells, MDCK cells, LLC-PK cells,  $\beta$ -CH3 cells, C2 cells, by hamster kidney (BHK), Chinese hamster ovary (CHO), mouse fibroblast (L-M), NIH Swiss mouse embryo (NIH/3T3), African green monkey cell lines (including COS-a, COS-1, COS-6, COS-7, BSC-1, BSC-40, BMT-10 and Vero), rat adrenal pheochromocytoma (PC12), rat glial tumor cells (C6), RAJI (human lymphoma) cells, MOPC-31C mouse plasmacytoma cells, MN9D cells, MN9H cells, ripTag transgenic mouse derived cells, SCT-1,  $\beta$ -TC cells, Hep-G2 cells, AT-T20 cells, beta-cell lines such as NIT cells or RIN cells, Ntera-2 cells (Pleasure et al., Journ. Neuroscience, 12, pp. 1802-15 (1992)) and human astrocyte cell lines such as U-373 and U-937.

- 12 -

Primary cells that may be used include, bFGF-responsive neural stem/progenitor cells derived from the CNS of mammals (Richards et al., PNAS 89, pp. 8591-8595 (1992); Ray et al., PNAS 90, pp. 3602-3606 (1993)), primary fibroblasts, Schwann cells (WO 92/03536), astrocytes, oligodendrocytes and their precursors, myoblasts, and adrenal chromaffin cells. For example, one such myoblast cell line is the C<sub>2</sub>C<sub>12</sub> cell line.

10 Cells can also be chosen depending on the particular method of growth control and differentiation to be used. For example, stem cells can easily be used with the methods which induce differentiation by introducing a chemical substance. Generally, stem  
15 cells are undifferentiated cells which in vivo are normally quiescent but are capable of proliferation and capable of giving rise to more stem cells having the ability to generate a large number of progenitor cells that can in turn give rise to differentiated or  
20 differentiable daughter cells. Stem cells represent a class of cells which may readily be expanded in culture, and whose progeny may be terminally differentiated by the administration of a specific growth factor. See, e.g., Weiss et al. (PCT/CA  
25 92/00283).

Myoblasts are one type of cell that may be encapsulated in a BAO according to this invention. Myoblasts are muscle precursor cells originally derived from mesodermal stem cell populations. A number of  
30 myoblast cell lines are available which can undergo differentiation in culture, e.g., L-6 and  $\beta$ -CH3 cells. Primary myoblasts can be readily isolated from tissue taken from an autopsy or a biopsy, and can be purified and expanded. Myoblasts proliferate and fuse together  
35 to form differentiated, multi-nucleated myotubes.

- 13 -

Myotubes no longer divide, but continue to produce muscle proteins. While proliferating, myoblasts may readily be genetically engineered to produce therapeutic molecules. Methods are known for

5 introducing one or more genes into myoblasts to produce the desired biologically active molecules. Myoblasts are capable of migrating, fusing into pre-existing fibers, and serving as carriers for the introduced gene(s). Verma et al. (WO 94/01129); Blau, et al.,

10 TIG, 9, pp. 269-74 (1993); WO 93/03768; WO 90/15863. The engineered cells may then be encapsulated and allowed to differentiate in the BAO or the differentiated cells may themselves be encapsulated.

The choice of cells also depends upon the

15 intended application. The cells within the BAO may be chosen for secretion of a neurotransmitter. Such neurotransmitters include dopamine, gamma aminobutyric acid (GABA), serotonin, acetylcholine, noradrenaline, epinephrine, glutamic acid, and other peptide neuro-

20 transmitters. Cells can also be employed which synthesize and secrete agonists, analogs, derivatives or fragments of neurotransmitters which are active, including, for example, cells which secrete bromocriptine, a dopamine agonist, and cells which

25 secrete L-dopa, a dopamine precursor.

The cells can be chosen for their secretion of hormones, cytokines, growth factors, trophic factors, angiogenesis factors, antibodies, blood coagulation factors, lymphokines, enzymes, and other

30 therapeutic agents or agonists, precursors, active analogs, or active fragments thereof. These include enkephalins, catecholamines, endorphins, dynorphin, insulin, factor VIII, erythropoietin, Substance P, nerve growth factor (NGF), Glial cell line-derived

35 Neurotrophic Factor (GDNF), platelet-derived growth

- 14 -

factor (PDGF), epidermal growth factor (EGF), brain-derived neurotrophic factor (BDNF), neurotrophin-3 (NT-3), neurotrophin-4/5, CDF/LIF, bFGF, aFGF, an array of other fibroblast growth factors, ciliary  
5 neurotrophic factor (CNTF), and interleukins.

It should be understood from the foregoing that the cells useful in the methods of this invention include untransformed cells that secrete the desired biologically active molecule(s), or cells that can be  
10 transformed to do so.

The genes encoding numerous biologically active molecules have been cloned and their nucleotide sequences published. Many of those genes are publicly available from depositories such as the American Type  
15 Culture Collection (ATCC) or various commercial sources. Genes encoding the biologically active molecules useful in this invention that are not publicly available may be obtained using standard recombinant DNA methods such as PCR amplification,  
20 genomic and cDNA library screening with oligonucleotide probes from any published sequences. Any of the known genes coding for biologically active molecules may be employed in the methods of this invention. See, e.g., United States Patent 5,049,493; Gage et al., United  
25 States Patent 5,082,670; and United States Patent 5,167,762.

A gene of interest (i.e., a gene that encodes a suitable biologically active molecule) can be inserted into a cloning site of a suitable expression  
30 vector by using standard techniques. These techniques are well known to those skilled in the art.

The expression vector containing the gene of interest may then be used to transfect the cell line to be used in the methods of this invention. Standard  
35 transfection techniques such as calcium phosphate co-



- 15 -

precipitation, DEAE-dextran transfection, lipid-mediated methods, or electroporation may be utilized.

Methods are provided herein to control the growth of dividing cells, whereby the balance between proliferation and differentiation can be controlled to provide a supply of differentiated, non-dividing encapsulated cells within the BAO. Methods are also provided to control the growth of both dividing and non-dividing cells, whereby cell distribution and cell number within the BAO are controlled, resulting in reduced formation of necrotic cell cores and reduced cellular debris.

#### Control of Proliferation and Differentiation By Genetic Engineering

Methods and compositions are herein provided for controlling cell growth by genetic alteration of cells with a gene encoding a product that influences cell proliferation or differentiation.

According to one aspect of this invention, conditionally immortalized cell lines are used to achieve growth control in the BAO. Primary cells are transformed with a gene encoding a proliferation-promoting product. The proliferation-promoting gene is operatively linked to a regulatable promoter. The techniques described by Land et al., Nature, 304, pp. 596-602 (1983) or Cepko, Neuron, 1, pp. 345-53 (1988) for producing immortalized cells can be routinely modified to produce conditionally-immortalized cells.

According to this method, cell proliferation (i.e., mitosis) can be inhibited or arrested by decreased expression of a proliferation-promoting gene, such as an oncogene (e.g., c-myc, v-mos, v-Ha-ras, SV40 T-antigen, El-A from adenoviruses). Reduced expression of the oncogene is achieved by downregulation,

- 16 -

repression or inactivation of the promoter driving oncogene expression when the BAO is implanted in vivo in a host. Upregulation, activation or derepression of the regulatable promoter in vitro results in expression of the proliferation-promoting gene, thereby permitting cell proliferation in vitro. Suitable promoters are those which can be downregulated in vivo, including, e.g., glucocorticoid responsive promoters, such as PNMT (Hammang et al., Neuroprotocols, 3, pp. 176-83 (1993) and interferon ("IFN")-responsive promoters, such as Mx1 (Hug et al., Mol. Cell. Biol., 8, pp. 3065-79 (1988); Arnheiter et al., Cell, 52, pp. 51-61 (1990)), retroviral long terminal repeat promoters, tetracycline responsive promoters, e.g., the lac promoter, and insulin-responsive promoters. See also, McDonnell et al. WO 93/23431. It will be appreciated that choice of promoter will depend upon the intended implantation site. Thus, e.g., glucocorticoid or IFN-responsive promoters are useful for implantation in the brain according to this method, since the levels of glucocorticoid and/or IFN are very low in the brain. Thus, these promoters would not be expected to direct significant levels of expression of the oncogene upon implantation of the BAO in the brain.

In one embodiment, conditionally-immortalized cells are generated by operatively linking an oncogene to a regulatable promoter. The promoter is activated or upregulated in the presence of a binding protein. Production of the binding protein can be regulated by operatively linking the gene encoding the binding protein to a tetracycline responsive promoter.

For example, one embodiment contemplates a transformed cell containing a constitutive promoter driving tet repressor expression. The cell additionally contains a heterologous gene operatively

- 17 -

linked to the CMV-IE promoter. If the CMV-IE promoter is flanked with tet operator sequences, expression from this promoter can be turned off by the tet repressor. In the presence of tet, transcription occurs because  
5 tet binds with the tet repressor allowing other transcription factors to bind the CMV-IE promoter. According to this embodiment, the oncogene is only expressed when tetracycline is present. Thus, cells can be proliferated in vitro in the presence of  
10 tetracycline.

Several days prior to implantation, tetracycline can be removed to reduce transgene expression, and thus correspondingly reduce or halt cell proliferation in the BAO.

15 In a specific embodiment using conditionally immortalized cells, growth control is achieved using the Mx1 promoter. The Mx1 gene encodes a protein which confers resistance to influenza A and B. The Mx1 gene is tightly regulated by its promoter. In the absence  
20 of interferon ("IFN"), the gene is not expressed and the gene is inducible in the presence of IFN $\alpha$  and IFN $\beta$ . Arnheiter et al., Cell, 52, pp. 51-61 (1990) reported the generation of Mx1 transgenic mice that exhibited interferon inducible expression of the transgene in  
25 several tissues. The SV40 large T-antigen is capable of transforming and immortalizing cells derived from a number of tissues.

In one embodiment, the mouse Mx1 promoter can be fused with the SV40 early region and the chimeric  
30 gene used to generate transgenic mice. The tight regulation afforded by the Mx1 promoter elements allows one to control oncogene expression in tissues or in cell cultures prepared from the transgenic animals, thereby allowing creation of conditionally-immortalized  
35 cell lines.

- 18 -

In the presence of IFN $\alpha$  or IFN $\beta$ , the cell lines produced in this manner can be expanded arithmetically as with most other cell lines. Cell division can be halted by removal of IFN $\alpha$  or IFN $\beta$ , either before or after encapsulation. In a preferred embodiment, neural stem cells (neurospheres) can be prepared from transgenic mice containing the Mx1-SV40 T-antigen construct using the method of Weiss (PCT/CA 92/00283). The conditionally immortalized neural stem cell line so obtained can then be encapsulated and implanted in vivo in a host.

Additionally, if desired, the conditionally immortalized neural stem cell line can be further genetically modified to release any of a number of growth factors or neurotransmitter molecules, according to standard techniques. Other IFN-responsive promoters may also be useful in this embodiment. These promoters include metallothionein, H-2K<sup>b</sup>, H-2D<sup>d</sup>, H-2L<sup>d</sup>, HLA-A3, HLA-DR $\alpha$ , an HLA class I gene, 202, 56K, 6-16, IP-10, ISG15, ISG54, and 2',5'-oligo(A) synthetase. See, Hug et al., Mol. Cell. Biol., 8, pp. 3065-79 (1988).

This embodiment is particularly suited for cells to be encapsulated in BAOs for implantation in the brain. Circulating levels of IFN $\alpha$  and IFN $\beta$  in the brain are sufficiently low that transcriptional activity driven by the Mx1 promoter is insufficient to result in cell proliferation. In the founder transgenic animals, the expression of T-antigen could be induced in several tissues, but the natural expression of the oncogene was seen only in the thymus. However, thymic expression of the oncogene is a relatively common phenomenon in transgenic animals expressing the SV40 early region. Thus, in the absence of significant oncogene expression, the cells can be kept in a near quiescent state in vivo.

- 19 -

Another embodiment makes use of the observation that in traditional retroviral infection techniques to genetically engineer cells for use in vivo, retroviral promoters, e.g., the long terminal repeat ("LTR") promoter, are used. See, e.g., Gage et al. (United States Patent 5,082,670). The expression of genes driven by these promoters is typically downregulated in vivo. It is thought that this downregulation is mediated by circulating  
10 cytokines. This invention makes use of this normally detrimental downregulation of retroviral genes to stop or decrease cellular proliferation when cells are encapsulated within the BAO and implanted in vivo. In this instance, an immortalizing gene (oncogene) is  
15 driven from the LTR. This gene will "immortalize" the cells while they are maintained and expanded in vitro. Following implantation, in the presence of cytokines, the "immortalizing" oncogene is downregulated, proliferation decreases or stops and the cells may  
20 become quiescent within the device.

According to this embodiment conditionally-immortalized cells may be produced by retroviral infection or DNA transfection with cDNA containing an oncogene (e.g. c-myc, v-mos, v-Ha-ras, SV40 T-antigen,  
25 E1-A from adenoviruses) operatively linked to a retroviral promoter, e.g., the LTR promoter. We prefer Moloney murine leukemia virus (MLV), Rous sarcoma virus (RSV), and mouse mammary tumor virus (MMTV) promoter sequences.

30 These transformed cells will normally express the oncogene in vitro. Successfully transformed cells will be grown in culture using established culture techniques. LTR-transgene expression can be stimulated by the addition of dexamethasone or epidermal growth  
35 factor to shorten the amount of time needed to culture

- 20 -

the transformed cells. By exposing the cells to cytokines, e.g., gamma-interferon (IFN- $\gamma$ ), TNF- $\alpha$  and transforming growth factor- $\beta$  (TGF $\beta$ ), preferably several days prior to encapsulation and implantation, mitosis  
5 can be reduced by hindering LTR-driven transgene expression. Schinstine and Gage, Molecular and Cellular Approaches to the Treatment of Neurological Disease, 71, ed. Waxman, S.G. (1993); Seliger et al., J. Immunol., 141, pp. 2138-44 (1988); Seliger et al.,  
10 J. Virology, 61, pp. 2567-72 (1987); Seliger et al., J. Virology, 62, pp. 619-21 (1988).

Any suitable cell can be conditionally immortalized according to the above methods. One of ordinary skill in the art can determine the suitability  
15 of a given cell type for conditional immortalization by screening methods well known in the art, including according to the methods provided herein.

Methods are provided herein for growth control of immortalized cell lines or other  
20 continuously proliferating cells by transforming these cells to include tumor suppressor genes, e.g., the p53 gene or RB gene, to halt or reduce proliferation. Tumor suppressor genes, or anti-oncogenes, are believed to be growth-constraining genes. See, e.g., Weinberg,  
25 Neuron, 11, pp. 191-96 (1993). For example, a wild-type p53-activated fragment 1 (WAF1) can suppress tumor cell growth in culture. It is theorized that genes induced by the p53 protein may mediate its biological role as a tumor suppressor. El-Deiry et al., "WAF1, a  
30 Potential Mediator of p53 Tumor Suppression," Cell, 75, pp. 817-825 (1993). The WAF1 gene is also referred to as the CIP1 gene. Other p53-mediated growth arresting genes include GADD45 and GADD153 (or CHOP). See Ron Proc. Natl. Acad. Sci. USA, 91, pp. 1985-86 (1994).

- 21 -

The standard techniques for transforming cells with heterologous DNA discussed above can be used here.

According to one embodiment, immortalized cells or continuously proliferating cells are transformed with a tumor suppressor gene operatively linked to a regulatable promoter. Use of a suitable regulatable or inducible promoter allows expression of the transgene to be downregulated or "turned off" when the transformed cells are cultured in vitro, thus permitting expansion. Upon encapsulation and implantation, the promoter is "induced," or upregulated, and expression of the tumor suppressor gene occurs, resulting in reduced or halted cell proliferation.

The tyrosine hydroxylase and erythropoietin promoters may be useful in this aspect of the invention. These promoters are typically "downregulated" under high O<sub>2</sub> conditions, such as those encountered in vitro, but are "upregulated" under low O<sub>2</sub> conditions, like those that cells encounter upon encapsulation in a BAO and implantation in a host.

In addition, suitable coupled or derepressible promoter systems may be used to achieve the desired regulation of the proliferation-suppressing gene. One suitable system, e.g., involves use of the AP1 promoter and the lac operator/PGK1 promoter system described by Hannan et al., Gene, 130, pp. 233-39 (1993). The AP1 promoter is operatively linked to the lac repressor gene. The lacO (lac operator) and 3-phosphoglycerate kinase (PGK1) promoter is operatively linked to the proliferation-suppressing gene. Addition of exogenous phorbol ester in vitro induces the AP1 promoter, resulting in expression of the lac repressor protein. In the presence of repressor protein, the lacO-PGK1 promoter construct is

- 22 -

repressed, and no expression of the proliferation-suppressing gene occurs. In the absence of phorbol ester in vivo, no repressor protein is expressed, the lacO-PGK1 promoter is derepressed, and the proliferation-suppressing gene is expressed.

According to one method, a suitable cell is transformed with a gene encoding a differentiation-inducing product. This differentiation-inducing gene is operatively linked to a regulatable promoter.

10 According to this method, the differentiation-inducing gene would be expressed upon encapsulation and in vivo implantation in a host. However, expression can be arrested or inhibited in vitro by appropriate downregulation, repression or inactivation of the

15 regulatable promoter, thus allowing expansion of a desired cell or cell line in vitro. This method can be used with dividing cells, or primary cells that have been immortalized. High mobility group chromosomal protein 14, "HMG," is one example of a gene involved in

20 regulating differentiation of cells. Any suitable promoter that is upregulated in vivo but which can be "turned off" or downregulated in vitro can be used in this embodiment, as discussed supra for use with proliferation-arresting genes. In addition, any

25 suitable derepressible promoter system can be used, as discussed supra, for the regulation of tumor suppressor gene expression.

Another method of growth control uses antisense RNA or DNA, or their derivatives. Antisense

30 RNA or DNA is a single-stranded nucleic acid which is complementary to the coding strand of a gene or to the "coding" mRNA produced from transcription of that gene. If the antisense RNA is present in the cell at the same time as the mRNA, the antisense RNA hybridizes to the

35 mRNA forming a double strand which then cannot be



- 23 -

translated by ribosomes to make protein. Antisense RNA can be administered to cells either via microinjection or bulk addition to culture medium. The preferred method of the instant invention is to transfect target  
5 cells with eukaryotic expression vectors. Neckers et al., "Antisense Technology: Biological Utility And Practical Considerations", Am. J. Physiol., 265 (Lung Cell. Mol. Physiol., 9), pp. L1-L12 (1993).

According to this embodiment, an antisense  
10 gene encoding antisense RNA to either a proliferation-inducing gene or a tumor suppressor gene can be operatively linked to an inducible promoter. When the promoter is induced, antisense RNA is produced. If the transformed cells contain a proliferation-inducing  
15 gene, according to this embodiment, antisense RNA production would be halted or downregulated in vitro to allow for cell expansion, and upregulated in vivo, to achieve cessation or reduction of proliferation.

Alternatively, if the transformed cells  
20 contain a tumor suppressor gene, antisense RNA production would be upregulated in vitro and downregulated in vivo to achieve the desired growth control.

In addition, antisense technology could be  
25 used to construct any antisense gene to a gene encoding a product essential for proliferation or differentiation. Appropriate induction of the expression of the antisense gene would allow one of skill in the art to achieve the desired growth control  
30 of encapsulated cells according to this invention.

It is preferred to use a regulatable promoter/gene construct that can be manipulated in vivo in the event that it becomes necessary or desirable to induce further cell proliferation in vivo. For  
35 example, in the Mx1/SV40 construct discussed supra, IFN

- 24 -

can be added locally or systemically to induce oncogene expression. An increase in cell division in vivo in the BAO may be desirable to increase cell number to replace dead cells in the BAO, or to achieve increased  
5 output of the desired biologically active molecule from the BAO.

Control of Growth and Differentiation  
by Use of Chemical Compounds

According to another method of this  
10 invention, cells may be exposed to a treatment which inhibits proliferation or induces differentiation. In some methods, the treatment comprises providing a chemical compound or growth factor. In other methods, the treatment comprises removing a chemical compound or  
15 growth factor from the growth medium. The treatment may be before or after encapsulation in the BAO, preferably before encapsulation.

The protein or chemical compound used depends on the cell type and the desired effect. One of  
20 ordinary skill in the art could screen a given cell type for its responsiveness to a selected compound or protein, with routine techniques.

In one method, cell distribution is controlled by a treatment that comprises removing a  
25 proliferation-inducing chemical compound or growth factor from the cell growth medium. In one embodiment, growth factors, such as epidermal growth factor ("EGF"), transforming growth factor  $\alpha$  ("TGF- $\alpha$ "), amphiregulin, or any other suitable agent, can be used  
30 to induce proliferation of stem or progenitor cells, including cells from embryonic sympathetic ganglia and immortalized progenitor cells, preferably neural stem cells (Weiss, PCT/CA 92/00283). This allows maintenance and expansion of a supply of neuronal  
35 precursor cells in vitro. When encapsulated in the

- 25 -

absence of these proliferation-inducing growth factors, the neuronal precursor cells cease dividing and differentiate.

The neuronal precursor cells may be further  
5 induced to differentiate by treatment with, e.g., phorbol ester, or growth on a fixed substrate, including ionically charged surfaces such as poly-L-lysine and poly-L-ornithine and the like. Differentiation may also be induced by treatment with a  
10 member of the FGF family in combination with at least 1 member of either the ciliary neurotrophic factor (CNTF) or nerve growth factor (NGF) family of factors as described in Ip et al. (WO 94/03199).

In another embodiment, a multilineage growth  
15 factor produced in the stroma, also termed "mast cell growth factor," "stem cell factor," "c-kit-ligand," or "Steel factor," can be used to induce proliferation of hematopoietic stem cells. To maintain a supply of dividing cells in vitro, hematopoietic stem cells are  
20 cultured in the presence of mast cell growth factor. To arrest or reduce proliferation, the mast cell growth factor is removed from the culture medium. This can be done before or after encapsulation, preferably before encapsulation.

25 Examples of other multilineage growth factors that promote proliferation include interleukin-3 and granulocyte-macrophage colony-stimulating factor. Mast cell growth factor can also affect cell growth in combination with other multilineage growth factors, or  
30 lineage specific growth factors, e.g., erythropoietin. For example, mast cell growth factor is thought to act synergistically with IL-3 in inducing proliferation and differentiation of highly enriched murine hematopoietic stem cells. Galli et al., "The Biology of Stem Cell  
35 Factor, a New Hematopoietic Growth Factor Involved in

- 26 -

Stem Cell Regulation," Int. J. Clin. Lab. Res., 23, pp. 70-77 (1993).

In another method of this invention, control of cell distribution in the BAO may be achieved by providing a chemical compound or growth factor which inhibits cell proliferation or induces differentiation. Any suitable proliferation-inhibiting or differentiation-inducing compound may be used according to this method.

It will be appreciated that different cell types may respond differently to various chemical compounds. One of ordinary skill in the art can routinely screen a particular compound to determine its effectiveness in affecting proliferation or differentiation of a given cell type.

In one embodiment, cytokines, including, e.g., transforming growth factor  $\beta 1$  (TGF $\beta 1$ ), may be used to arrest or inhibit cell proliferation or to induce cell differentiation. For example, decreased proliferation and enhanced differentiation in BHK cells can be achieved by exposure to TGF $\beta 1$  and ascorbate. Similarly, TGF $\beta 1$  can be used to induce differentiation in fibroblast cells and also as a growth inhibitor of keratinocytes and endothelial cells. Phillips et al., "Ascorbic Acid and Transforming Growth Factor- $\beta 1$  Increase Collagen Biosynthesis via Different Mechanisms: Coordinate Regulation of Pro $\alpha 1$ (I) and Pro $\alpha 1$ (III) Collagens," Archives of Biochemistry and Biophysics, 295, pp. 397-403 (1992).

In another embodiment, TGF $\beta 1$ , serotonin, or FGF may be used to control the growth of neuroendocrine cells. The growth of neuroendocrine cells can be regulated by their own products in an autocrine fashion. TGF $\beta 1$  is an autocrine growth-inhibitory factor for human pancreatic carcinoid cells (BON),

- 27 -

while FGF and serotonin are autocrine growth-stimulatory factors. The inhibitory effect of TGF $\beta$ 1 on the growth of BON cells can be reversed by addition of serotonin. Townsend Jr. et al., "Studies of Growth Regulation in a Neuroendocrine Cell Line," Acta Oncologica, 32, pp. 125-130 (1993).

A variety of other chemicals may also be used according to the methods of this invention to arrest or inhibit proliferation or induce differentiation of cells. These chemicals include mitomycin C, 5-bromodeoxyuridine (BrdU), prostaglandin E<sub>1</sub> (PGE<sub>1</sub>), dibutyryl cAMP, 1- $\beta$ -D-arabinofuranosyl cytosine (Ara-C), nicotinamide, and heparin. Mitomycin may be particularly suited for controlling proliferation of encapsulated BHC cell lines. See, e.g., Radvanyi et al., Mol. Cell. Biol., 13, pp. 4223-27 (1993).

Sometimes a combination of chemicals can be used. Human neuroblastoma cells IMR-32 may be induced to differentiate in vitro when treated with mitomycin C and BrdU or PGE<sub>1</sub> and dibutyryl cAMP (dbcAMP). Gash et al., "Amitotic Neuroblastoma Cells Used for Neural Implants in Monkeys," Science, 233, pp. 1420-22 (1986). Serial pretreatments of human embryonal rhabdomyosarcoma cell line with Ara-C results in marked growth inhibition in vitro, loss of tumorigenicity in vivo, and a more differentiated phenotype even following removal of the compound. Crouch et al., "Ara-C Treatment Leads to Differentiation and Reverses the Transformed Phenotype in a Human Rhabdomyosarcoma Cell Line," Experimental Cell Research, 204, pp. 210-16 (1993). Nicotinamide (NIC) is thought to induce differentiation and maturation of human fetal pancreatic islet cells. Otonkoski et al., "Nicotinamide Is a Potent Inducer of Endocrine

- 28 -

Differentiation in Cultured Human Fetal Pancreatic Cells," J. Clin. Invest., 92, pp. 1459-66 (1993).

The addition of dbcAMP has also been reported to influence the differentiation of developing tissues. For example, dbcAMP is thought to modulate the differentiation of astrocyte precursors, induce neurite formation in PC12 cells, and stimulate Schwann cell proliferation. Baron-Van Evercooren et al., "Schwann Cell Differentiation in vitro: Extracellular Matrix Deposition and Interaction," Dev. Neurosci., 8, pp. 182-96 (1986). Similarly, differentiation of Schwann cells can be induced by exposure to ascorbate. Ibid.

Further, sialoglycopeptide ("SGP") molecules may be used to inhibit or arrest cell proliferation. For example, an 18 kDa cell surface sialoglycopeptide isolated from intact bovine cerebral cortex cells arrested proliferation of exponentially growing Swiss 3T3 cells. See, e.g., Toole-Simms et al., Jour. Cell. Physiol., 147, pp. 292-97 (1991); Fattaey et al., Exp. Cell. Res., 194, pp. 62-68 (1991). Numerous transformed and untransformed cell types have been shown to be sensitive to some SGPs. These cells include epithelial-like and fibroblast cells from a broad spectrum of vertebrate and invertebrate species. See, e.g., Fattaey et al., Jour. Cell. Physiol., 139, pp. 269-74 (1989) incorporated herein by reference.

It will be appreciated that some of the foregoing treatments may only have a transient effect on proliferation and differentiation. In such cases it may be desirable to provide a continuously replenished supply of the compound or growth factor to the encapsulated cell when implanted in vivo in the host. This can be accomplished by use of a bioerodable polymer non-cellular source of the growth factor or

- 29 -

compound, or by co-encapsulating a cellular source of the growth factor or compound, or any other suitable means. See, e.g., United States 5,106,627 and 5,156,844.

5 Control of Growth By Irradiation

Cell proliferation can also be controlled through exposure of cells to a suitable dose of irradiation, e.g., x-rays, ultraviolet (UV) radiation, and the like. When cells are subjected to irradiation, their progression through the cell cycle may be arrested. The critical dose rate, or minimum dose rate can be determined for a chosen cell type using methods known in the art. See, e.g., Stanley and Lee, Radiat. Res., 133, pp. 163-9 (1993); Mitchell et al., Radiat. Res., 79, pp. 537-51 (1979). For example, normal human epidermal keratinocytes irradiated with 5 and 10 mJ/cm<sup>2</sup> ultraviolet B(UVB) radiation showed a significant (up to 78%) decrease in proliferation 3 to 5 days post-irradiation. Prystowsky et al., J. Invest. Dermatol., 101, pp. 54-58 (1993). Yi et al., Radiation Research, 133, pp. 163-69 (1993) provide a method for calculating the lowest dosage required to stop cell proliferation by exposure to x-rays.

25 Control of Growth and Differentiation  
By Use of Extracellular Matrix Molecules

Methods are provided herein for the control of cell distribution in a BAO by modification of a growth surface with a growth controlling extracellular matrix ("ECM") (or components thereof) alone or in combination with a growth controlling physical matrix or other growth regulating substances.

In living tissue, the ECM is formed from a variety of proteins and polysaccharides which are secreted by cells and assembled into a network in

- 30 -

proximity to the cells that secreted them. ECM molecules include glycosaminoglycans and proteoglycans, such as chondroitin sulfate, fibronectin, heparin sulfate, hyaluron, dermatan sulfate, keratin sulfate, laminin, collagen, heparan sulfate proteoglycan (HSPG) and elastin. In particular, collagen is a major component of ECM in vivo. ECM molecules are known to cause decreased cell proliferation and increased cell differentiation. In addition, acellular ECM when used in the methods of this invention may influence the spatial location of cells encapsulated in the BAO.

ECM may be obtained by culturing cells known to deposit ECM, including cells of mesenchymal or astrocyte origin. Schwann cells can be induced to synthesize ECM when treated with ascorbate and cAMP. These ECM components resemble a precursor form of the basement membrane which support Schwann cell proliferation. Furthermore, naturally produced ECM from endothelial cells and a reconstituted basement membrane gel from Engelbreth Holm-Swarm tumor cells (EHS) supports the growth and differentiation of various epithelial and endothelial cells. Baron-Van Evercooren et al., "Schwann Cell Differentiation in vitro: Extracellular Matrix Deposition and Interaction," Dev. Neurosci., 8, pp. 182-96 (1986).

In one embodiment, growth control is achieved by coating a growth surface in the BAO with ECM (or its growth controlling components). We prefer seeding the growth surface in the BAO with cells that produce ECM, and culturing the cells until confluent. The cells are then treated with detergent and  $\text{NH}_4\text{OH}$ . The resulting BAO, with acellular ECM coated on a growth surface, is then used to encapsulate cells that produce the desired biologically active molecule.



- 31 -

In another embodiment, ECM is prepared substantially in the same manner in vitro, lyophilized, fragmented and mixed with cells as a suspension. The cell/ECM fragments are then co-loaded into the BAO.

5           Cells grown in presence of some ECM molecules show decreased proliferation and increased differentiation compared to cells grown in conventional monolayer culture. For example, adrenocortical cells, known to synthesize certain steroid hormones such as  
10 aldosterone, exhibit decreased proliferation when grown in vitro in the presence of collagen gel. Fujiyama et al., "Influence of Extracellular Matrix on the Proliferation and Differentiation of Adrenocortical Cells in Culture," Path. Res. Pract., 189, pp. 12051-  
15 14 (1993).

Schwann cells may also exhibit decreased proliferation and increased differentiation when cultured in the presence of collagen.

Endocrine cells are also known to  
20 differentiate in vitro when grown on surfaces coated with a combination of type IV collagen and HSPG. Type IV collagen is necessary for cell adhesion and the HSPG induces differentiation. de Bruine et al.,  
"Extracellular Matrix Components Induce Endocrine  
25 Differentiation In Vitro in NCI-H716 Cells," American Journal of Pathology, 142, pp. 773-782 (1993).

Various growth factors or chemical compounds, including those discussed supra, may be added to the ECM components to further control the growth and  
30 differentiation of cells. Growth factors may be administered to the cells in vitro prior to implantation or to the cells in vivo, or both. See, e.g., United States Patents 5,156,844 and 5,106,627, which refer to methods for delivering growth factors  
35 using either a co-encapsulated cellular or non-

- 32 -

cellular source of the growth factor. In addition, the ECM molecules may be derivatized with growth controlling peptides according to known techniques.

For example, transforming growth factor- $\beta$ , which modulates cell growth on its own, and which reversibly binds to certain ECM molecules (e.g. decorin), can be added to ECM to potentiate the growth-inhibiting effects of ECM molecules.

Likewise, heparin has also been shown to prevent the growth of both untransformed cells and transformed cell lines. Matuoka et al., Cell Structure and Function, 9, p. 357 (1984).

Basic fibroblast growth factor (bFGF) has also been reported to enhance endocrine cell differentiation when added along with ECM components. See, de Bruine et al., "Extracellular Matrix Components Induce Endocrine Differentiation In Vitro in NCI-H716 Cells," American Journal of Pathology, 142, pp. 773-782 (1993).

Growth factors may exhibit different effects on cells when combined with different components of ECM. For example, fibroblast growth factor (FGF) has been shown to be an effective differentiating factor and a weak mitogen for chromaffin cells grown on laminin. However, when FGF is added to chromaffin cells grown on collagen, FGF is a weak differentiation factor and a strong mitogen. This behavior has also been shown for the cyclic AMP analogue 8-(4-chlorophenylthio) cyclic AMP. Chu et al., Neuroscience, 95, pp. 43-54 (1994).

Table 1 is a partial list of ECM molecules growth factors and chemical compounds known to influence proliferation and differentiation in particular cell types.

- 33 -

Table 1: ECM MOLECULES, GROWTH FACTORS AND  
CHEMICAL COMPOUNDS INFLUENCING PROLIFERATION OR DIFFERENTIATION

	<u>Cell Type</u>	<u>Differentiation Inducer/ Growth Inhibitor</u>	<u>Proliferation Promoter</u>
5	Schwann	ascorbate; collagen (Vitrogen™); Cultisphers/agarose	TGF-β; dbcAMP
	PC12	NGF; dbcAMP; SGP	
	Fibroblasts	TGF-β-1; Cultisphers/agarose; ascorbate; SGP	Vitrogen™
	Myoblasts	collagen; ascorbate	
10	Neural stem	laminin; Peptide 2000; Culti- spheres/Peptide 2000; phorbol ester; heparin; FGF and (CNTF or NGF)	EGF; bFGF; TGF-α; amphiregulin
15	Human embryonal rhabdomyosarcoma cell line	Ara-C	
	Human fetal pancreatic islet cells	Nicotinamide (NIC)	
	Astroblasts	dbcAMP	
	Swiss 3T3	SGP	
20	Adrenocortical	Collagen	
	Endocrine	Type IV Collagen + HSPG; bFGF + ECM components	
	Chromaffin	FGF + laminin; 8-(4-chloro- phenylthio)cyclic AMP + laminin	FGF + collagen; 8-(4- chlorophenylthio)cyclic AMP + collagen
	Hematopoietic stem cells		Mast cell Growth Factor
25	BHK	TGFβ-1 + Ascorbate; ECM from E15 rat meningeal cells	
	Keratinocytes	TGFβ-1	
	Endothelial cells	TGFβ-1	
	Neuroendocrine (human pancreatic carcinoid cells (BON))	TGFβ-1	TGFβ-1 + Ascorbate; Serotonin; FGF
35	Human neuroblastoma Cell line IMR-32	Mitomycin C + BrdU; PGE <sub>1</sub> + dbcAMP; SGP	
	SCT-1	Collagen; Ascorbate	

The growth surfaces within the BAO include  
the luminal surfaces of the BAO, and additionally

- 34 -

include other growth surfaces, such as an inner support, that may be encapsulated within the BAO.

Microcarriers may provide a surface for cell growth. Use of microcarriers can allow a greater  
5 number of cells to be encapsulated and evenly distributed within the BAO, especially for cells that become growth contact inhibited. Several types of microcarriers are commercially available, including  
10 Cytodex (Sigma, St. Louis, MO) dextran microcarriers, and Cultispher™ (HyClone Labs, Logan, UT) macroporous gelatin microcarriers and glass microcarriers. These microcarriers are often used for the culture of anchorage dependent cells. Cell lines which have been shown to grow on macroporous gelatin microcarriers  
15 include OBHK, BHK-21, L-929, CHO-K1, rCHO, MDCK, V79, F9, HeLa, and MDBK. Microcarriers may also be made of or coated with other ECM molecules (such as FACT™ collagen coated microcarriers (Solo Hill Labs, Ann Arbor, MI)), or acellular ECM, substantially as  
20 described above.

In one preferred embodiment cells producing the desired biologically active molecules can be seeded onto the ECM coated microcarrier surfaces and cultured on the microcarriers in vitro, prior to encapsulation  
25 and implantation. Cherksey (WO 93/14790) refers to the culturing of cells on glass or plastic microbeads and subsequent implantation of the microbeads into the brain of a recipient.

In another embodiment according to this  
30 invention, cells seeded on microcarriers may be suspended in the presence of a suitable growth-inhibiting matrix and then encapsulated in the BAO. Such matrix material (e.g., agarose or agar for fibroblasts; collagen for adrenocortical cells)  
35 physically inhibits further cell outgrowth. Such

- 35 -

hydrogel matrices are described in, e.g., Dionne WO 92/19195, incorporated herein by reference.

According to another aspect of this invention, agarose may also be used as a substitute for  
5 ECM by derivatization with peptide sequences to affect cell attachment to the matrix. For example, agarose hydrogels may be derivatized with peptide sequences of laminin or fibronectin.

In this method, cells are suspended in 3-D  
10 matrices composed of agarose derivatized with a peptide sequence that recognizes a cell surface receptor molecule involved in cell adhesion. Several peptide sequences have been shown (in 2-D) to promote cell adhesion. See, e.g., Pierschbacher et al., Science,  
15 309, pp. 30-33 (1984); Graf et al., Biochemistry, 26, pp. 6896-900 (1987); Smallheiser et al., Dev. Brain Res., 12, pp. 136-40 (1984); Jucker et al., J. Neurosci. Res., 28, pp. 507-17 (1991). The derivatized agarose matrices of this invention allow presentation  
20 of the appropriate molecular cues for cell adhesion in 3-D. The agarose concentration is preferably 1.25% w/v or less, most preferably about 1.0%. We prefer RGD-containing sequences (i.e. ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), YIGSR-containing sequences (TyrIleGlySerArg;  
25 AA<sub>5</sub>-AA<sub>9</sub> of SEQ ID NO:1), IKVAV-containing sequences (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3), and the like. Derivatization can be achieved using a bi-functional coupling agent, such as 1'1, carbonyldiimidazole or any other suitable method.

30 One particular advantage of using agarose instead of ECM components is that naturally occurring ECM components may be enzymatically degraded over time in vivo while agarose is not as readily degraded. The use of agarose is also advantageous because it is a  
35 defined product unlike materials like Matrigel, which

- 36 -

is derived from a tumor cell line and therefore an undefined mixture. Specifically, it has been shown that Matrigel® contains bFGF, a potent mitogen for many cell types. Agarose is a clear, thermoreversible hydrogel made of polysaccharides. In addition to physically restricting cell outgrowth, agarose itself may inhibit proliferation and induce differentiation. See, e.g., Aulthouse, in "Expression of the Human Chondrocyte Phenotype In Vitro," In Vitro Cellular & Developmental Biology, 25, pp. 659-668 (1989).

Agarose can be chemically modified by derivatives, e.g., PEO-PDMS, to further inhibit cell outgrowth, preferably without toxic effects to the cells.

It will be appreciated that different cell types may exhibit different responsiveness to a given ECM molecule, or to acellular ECM from a particular source. See, e.g., End and Engel, "Multidomain Proteins Of The Extracellular Matrix And Cellular Growth", pp. 79-129, in Receptors For Extracellular Matrix, [Eds] McDonald and Mecham, Academic Press, New York (1991), herein incorporated by reference. One of ordinary skill can readily screen a cell type to determine its responsiveness to an ECM molecule or to acellular ECM from a specific source, to determine its effectiveness in controlling cell distribution.

#### Growth Control by Growth Surface Modification in the BAO

Methods are provided herein for cell growth control in a BAO by chemically modifying growth surfaces to control cell number and cell location within the BAO. Growth surfaces within the bioartificial organ can be modified to control cell attachment to the growth surface. The growth surface within the BAO can be the luminal surface of the BAO,

- 37 -

or an internal membrane, microcarrier or inner support placed inside the BAO. With the microcarrier and inner support embodiments, cells can be cultured on these structures in vitro and subsequently encapsulated in  
5 the BAO for implantation.

The BAO membrane may be modified by a number of different known methods, including chemical modification, to produce carboxylic acid groups, amine groups, or hydroxyl groups or other reactive functional  
10 groups, or it can be modified by absorption. These reactive functional groups, otherwise not present on the polymer backbone, can subsequently be used as sites for further derivatization.

In one embodiment, the luminal surface of the  
15 BAO is modified to promote cellular attachment thereto. Controlled cell attachment to the luminal surface may be useful in enhancing cell survival. By attaching the cells preferentially to the membrane, an even distribution of cells inside the capsule can be  
20 achieved with fewer cells than that are used in immobilization techniques using a hydrogel suspension. The use of fewer cells results in a lesser amount of cellular debris. Another benefit is the enhanced diffusion of nutrients to the cells because the cells  
25 are in close contact with the membrane. If the membrane modification is used without a matrix material within the capsule, complications of transport through the gel and adsorption of proteins or cell products to the matrix material can also be avoided. Cellular  
30 attachment may be promoted by treatment of the BAO luminal surface with poly(d-lysine) of various molecular weights. The poly(d-lysine) can be adsorbed onto the BAO luminal surface from a pH 11 buffered solution. We prefer poly(d-lysine) of about 67,000  
35 g/mole.

- 38 -

In addition, peptide derivatives, e.g., RGD containing sequences (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), YIGSR-containing sequences (TyrIleGlySerArg; AA<sub>5</sub>-AA<sub>9</sub> of SEQ ID NO:1), including CDPGVIGSR  
5 (CysAspProGlyTyrIleGlySerArg; SEQ ID NO:1), as well as IKVAV containing sequences (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3) (preferably CysSerArgAlaArgLysGlnAlaAla SerIleLysValAlaValSerAlaAspArg (SEQ ID NO:3)), have been found to be particularly useful in promoting  
10 cellular attachment. For example, RGD (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), the most common of these peptides can be chemically attached to the BAO membrane, using known techniques. Some RGD (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2) containing molecules are  
15 commercially available -- e.g., PepTite-2000™ (Telios).

In another embodiment, the BAO membrane can be modified to inhibit cell attachment through adsorption of, e.g., PEO-PDMS or poly(d-lysine)-alginate. We prefer PEO-PDMS modification,  
20 particularly if the growth surface is porous. This is because PEO-PDMS will tend to diffuse through the pores and adsorb to the surface as it passes through the pores through hydrophobic-hydrophobic bonding. In particular, low molecular weight (600-3000 g/mole) PEO-  
25 PDMS is preferred.

This embodiment is particularly useful when cells are grown on microcarriers and encapsulated in the BAO. In this manner, an even cell distribution may be achieved, cell number may be controlled, and cell  
30 adhesion may be limited to the microcarrier.

In addition, compounds promoting and inhibiting cell attachment can be used in combination. For example, the luminal surface of the BAO can be treated with compounds inhibiting cell attachment, and  
35 cell-carrying microspheres, or the matrix surrounding



- 39 -

the cells (if used), may be treated with compounds promoting cell attachment.

In another embodiment, the interior of the BAO may be altered by providing an inert scaffold within the BAO prior to loading cells. This scaffold provides a structure for adhering and evenly distributing cells within the capsule. Compounds useful in the preparation of an inert scaffold include, poly(hydroxyethyl methacrylate) ("PHEMA") and poly(hydroxyethyl methacrylate-co-methyl methacrylate) ("PHEMA/MMA"). Furthermore, the scaffold may be derivatized with various chemicals or proteins, including those discussed supra, to further control growth and differentiation. According to this method, solutions of a suitable scaffold material are precipitated in the BAO for the desired scaffold.

Another embodiment contemplates culturing cells on a member which will serve as an internal support. The internal support may be made of any substantially biocompatible material such as titanium or a suitable polymer. The support can be in the form of a strut or may be designed to also function as a scaffold, by providing a large amount of surface area for cell growth. One example of such a scaffold material is a non-woven polyester fabric (NWPF) (Reemay, Tennessee). There are numerous types of NWPF, varying in tightness of weave and thickness of the sheet. Such technique allows precise control over number of cells in a BAO, as well as the ability to qualify the cells/scaffold prior to insertion in the BAO. Further, differentiation of cells cultured on such a material (external to the device) could be accomplished prior to insertion of the material into the device. Such a scaffold could be modified, for example, with cell adhesion peptides, to induce

- 40 -

cellular differentiation. Additionally, the material adds strength to the BAO. The fabrication of BAOs containing an inner support is described in co-pending application SN 08/105,728

5           The BAOs useful in this invention typically have at least one semipermeable outer surface membrane or jacket surrounding a cell-containing core. The jacket permits the diffusion of nutrients, biologically active molecules and other selected products through  
10 the BAO. The BAO is biocompatible, and preferably immunoisulatory. The core contains isolated cells, either suspended in a liquid medium or immobilized within a hydrogel matrix.

          It is to be understood that the foregoing  
15 methods and compositions for controlling the distribution of cells within a BAO are not exclusive. It may be desirable to use several of the methods and compositions in combination to achieve the desired growth control.

20           For example, it may be desirable to produce cells that have been genetically modified to include a growth controlling gene according to the methods of this invention, grow those cell on ECM microcarriers, and encapsulate the cell/microcarrier clusters in a  
25 BAO in which one or more growth surfaces have been modified to control cell distribution.

          The encapsulating membrane of the BAO may be made of a material which is the same as that of the core, or it may be made of a different material. In  
30 either case, a surrounding or peripheral membrane region of the BAO which is permselective and biocompatible will be formed. The membrane may also be constructed to be immunoisulatory, if desired.

          The choice of materials used to construct the  
35 BAO is determined by a number of factors and is

- 41 -

described in detail in Dionne WO 92/19195. Briefly, various polymers and polymer blends can be used to manufacture the capsule jacket. Polymeric membranes forming the BAO and the growth surfaces therein may

5 include polyacrylates (including acrylic copolymers), polyvinylidenes, polyvinyl chloride copolymers, polyurethanes, polystyrenes, polyamides, cellulose acetates, cellulose nitrates, polysulfones, polyphosphazenes, polyacrylonitriles,

10 poly(acrylonitrile/covinyl chloride), as well as derivatives, copolymers and mixtures thereof.

BAOs may be formed by any suitable method known in the art. One such method involves coextrusion of a polymeric casting solution and a coagulant which

15 can include biological tissue fragments, organelles, or suspensions of cells and/or other therapeutic agents, as described in Dionne, WO 92/19195 and United States Patents 5,158,881, 5,283,187 and 5,284,761, incorporated herein by reference.

20 The jacket may have a single skin (Type 1, 2), or a double skin (Type 4). A single-skinned hollow fiber may be produced by quenching only one of the surfaces of the polymer solution as it is co-extruded. A double-skinned hollow fiber may be produced by

25 quenching both surfaces of the polymer solution as it is co-extruded. Typically, a greater percentage of the outer surface of Type 1 hollow fibers is occupied by macropores compared to Type 4 hollow fibers. Type 2 hollow fibers are intermediate.

30 Numerous capsule configurations, such as cylindrical, disk-shaped or spherical are possible.

The jacket of the BAO will have a pore size that determines the nominal molecular weight cut off (nMWCO) of the permselective membrane. Molecules

35 larger than the nMWCO are physically impeded from

- 42 -

traversing the membrane. Nominal molecular weight cut off is defined as 90% rejection under convective conditions. In situations where it is desirable that the BAO is immunoisulatory, the membrane pore size is chosen to permit the particular factors being produced by the cells to diffuse out of the vehicle, but to exclude the entry of host immune response factors into the BAO. Typically the nMWCO ranges between 50 and 200 kD, preferably between 90 and 150 kD. The most suitable membrane composition will also minimize reactivity between host immune effector molecules known to be present at the selected implantation site, and the BAO's outer membrane components.

The core of the BAO is constructed to provide a suitable local environment for the particular cells isolated therein. The core can comprise a liquid medium sufficient to maintain cell growth. Liquid cores are particularly suitable for maintaining transformed cell lines like PC12 cells. Alternatively, the core can comprise a gel matrix. The gel matrix may be composed of hydrogel (alginate, "Vitrogen<sup>™</sup>", etc.) or extracellular matrix components. See, e.g., Dionne WO 92/19195.

Compositions that form hydrogels fall into three general classes. The first class carries a net negative charge (e.g., alginate). The second class carries a net positive charge (e.g., collagen and laminin). Examples of commercially available extracellular matrix components include Matrigel<sup>™</sup> and Vitrogen<sup>™</sup>. The third class is net neutral in charge (e.g., highly crosslinked polyethylene oxide, or polyvinylalcohol).

Any suitable method of sealing the BAO may be used, including the employment of polymer adhesives and/or crimping, knotting and heat sealing. These

- 43 -

sealing techniques are known in the art. In addition, any suitable "dry" sealing method can also be used. In such methods, a substantially non-porous fitting is provided through which the cell-containing solution is introduced. Subsequent to filling, the BAO is sealed. Such a method is described in copending United States application Serial No. 08/082,407, herein incorporated by reference.

One or more in vitro assays are preferably used to establish functionality of the BAO prior to implantation in vivo. Assays or diagnostic tests well known in the art can be used for these purposes. See, e.g., Methods In Enzymology, Abelson [Ed], Academic Press, 1993. For example, an ELISA (enzyme-linked immunosorbent assay), chromatographic or enzymatic assay, or bioassay specific for the secreted product can be used. If desired, secretory function of an implant can be monitored over time by collecting appropriate samples (e.g., serum) from the recipient and assaying them. If the recipient is a primate, microdialysis may be used.

The number of BAOs and BAO size should be sufficient to produce a therapeutic effect upon implantation is determined by the amount of biological activity required for the particular application. In the case of secretory cells releasing therapeutic substances, standard dosage considerations and criteria known to the art are used to determine the amount of secretory substance required. Factors to be considered are discussed in Dionne, WO 92/19195.

Implantation of the BAO is performed under sterile conditions. Generally, the BAO is implanted at a site in the host which will allow appropriate delivery of the secreted product or function to the host and of nutrients to the encapsulated cells or

- 44 -

tissue, and will also allow access to the BAO for retrieval and/or replacement. The preferred host is a primate, most preferably a human.

A number of different implantation sites are contemplated. These implantation sites include the central nervous system, including the brain, spinal cord, and aqueous and vitreous humors of the eye. Preferred sites in the brain include the striatum, the cerebral cortex, subthalamic nuclei and nucleus Basalis of Meynert. Other preferred sites are the cerebrospinal fluid, most preferably the subarachnoid space and the lateral ventricles. This invention also contemplates implantation into the kidney subcapsular site, and intraperitoneal and subcutaneous sites, or any other therapeutically beneficial site.

In order that this invention may be better understood, the following examples are set forth. These examples are for purposes of illustration only, and are not to be construed as limiting the scope of this invention in any manner.

#### EXAMPLES

##### Example 1 - Growth Control Using the Mx1 Promoter

The mouse Mx1 promoter was fused with the SV40 early region and the chimeric gene was used to generate transgenic mice. Because the Mx1 promoter elements are induced in the presence of IFN $\alpha$  or IFN $\beta$ , oncogene expression in tissues or in cell cultures prepared from the transgenic animals can be controlled. Thus, conditionally-immortalized cell lines can be generated.

##### Production Of Transgenic mice

The Mx1-Tag construct we used consisted of approximately 2kb of the Mx1 promoter (i.e., Xba1-EcoR1 fragment) fused to an intact SV40 early region cDNA,

- 45 -

which encodes both large T and small T antigens and is fused upstream of the mouse beta globin 3' untranslated region and poly-A signal (BamH1-XbaI fragment). The beta globin sequences were included to provide splice  
5 sites and to enhance expression of the cDNA in transgenic animals. Figure 1 shows the plasmid map of the Mx-1 construct.

Transgenic mice containing the Mx1-Tag construct were produced by the standard technique of  
10 pro-nuclear microinjection into single-cell fertilized mouse ova (Brinster et al., Proc. Natl. Acad. Sci. USA, 82, pp. 4438-4442 (1985)). Southern blot analysis of tissues from the founder animals confirmed that intact copies of the transgene were integrated in the  
15 genome.

Offspring from these mice were confirmed as "DNA positive" using PCR amplimers that recognize sequences of the SV40 early region.

#### Conditionally-Immortalized Stem Cells

20 Striata were removed from E15 transgenic mouse embryos and DNA negative littermates and plated in primary (individual) cell culture in EGF-containing neurospheres medium (per 100 mls: DDH<sub>2</sub>O 50 ml, 10X DMEM/F12 10 ml, 30% glucose 2.0 ml, NaHCO<sub>3</sub> 1.5 ml, 1M  
25 HEPES 0.5 ml, L-glutamine 1.0, 10 X hormone mix 10 ml, DDH<sub>2</sub>O 25 ml (to wash filter)). Neurospheres were prepared according to the method of Weiss, PCT CA92/00283, and Reynolds and Weiss, J. Neuroscience, 12, pp. 4565-74 (1992). Cells were passaged seven  
30 times once a week and then divided into 2 groups: with and without exogenous interferon (IFN). Cells were placed in T25 flasks at a plating density of 500,000 cells/5 ml in EGF-containing neurosphere medium. 1000 units/ml IFN were added to 1/2 of the cells.

- 46 -

Control neurospheres received no IFN. The cells were incubated at 37°C, 5% CO<sub>2</sub> and were passaged weekly.

After 30 passages (23 with IFN), the cells were placed in serum-containing medium (DMEM, 5% fetal bovine serum, and 1X L-glutamine) with 1000 units of IFN at a cell density of 1.25 million cells in 15 ml. Fresh IFN was added every other day.

Seven days later, the medium was removed, the cells were washed with Hanks' Balanced Salt Solution (HBSS), and the flask was lightly trypsinized. The cells were resuspended in 10 ml of the serum-containing medium, spun down at 1000 RPM for 2 minutes, and the medium was aspirated off. The cells were then resuspended in 2 ml of serum medium by triturating with a fire-polished pipet.

Approximately 25,000 cells were plated on poly-ornithine-treated coverslips in DMEM with 5% FBS. IFN was added to half of the coverslips (1000 units/ml) every other day. Cells were stained for SV40 T-antigen (Tag) and glial fibrillary acidic protein (GFAP), an intermediate filament protein specifically expressed in astrocytes, at various intervals, according to the following protocol.

Coverslips were immersed in 4% paraformaldehyde in 0.1M phosphate buffered saline (PBS) for 20 mins. at room temperature, and then washed twice for 5 mins. in PBS. Cells were permeabilized in 100% EtOH for 2 min, and then washed again twice for 5 min. in 0.1 M PBS. Cells were blocked with 5% NGS (normal goat serum) diluted in 0.1 M PBS for at least 30 mins at room temperature. Primary antibodies were pooled and diluted in 1% NGS for 2 hrs. and were applied to the coverslips at room temperature, as follows: anti-Tag (mouse monoclonal) was diluted 1:10, anti-GFAP (rabbit polyclonal) was diluted 1:500. The



- 47 -

primary antibodies were removed and the coverslips were then washed twice for 5 mins. with PBS.

Secondary antibodies were pooled and diluted in 1% NGS and were applied to the coverslips for  
 5 30 min. at room temperature in the dark, as follows:  
 GAM-FITC (1:128); GAR-Texas Red (diluted 1:100). The secondary antibodies were removed and the coverslips were washed twice for 5 mins. with PBS in the dark.

The coverslips were mounted with Citiflour™  
 10 (or other anti-fadent mounting media) onto slides and stored at 4° C until viewing using a fluorescent microscope equipped with rhodamine and fluorescein optics.

In this set of experiments, we set out to  
 15 determine how quickly T-antigen levels fall upon the removal of the interferon. In addition, we were interested to determine the effect of T-antigen level on cell proliferation and differentiation. Differentiation was assessed by monitoring GFAP level.  
 20 GFAP is an intermediate filament protein specifically expressed in mature astrocytes. The following immunofluorescence results were observed.

	<u>Day</u>	<u>IFN (1000 units/ml)</u>		<u>Control (No IFN)</u>	
		<u>Tag</u>	<u>GFAP</u>	<u>Tag</u>	<u>GFAP</u>
25	1	+++	-	+++	-
	4	+++	-	+	+/-
	7	+++	-	+/-	+/-
	10	+++	-	-	+

Thus, as shown by Tag and GFAP immunostaining, after a  
 30 period of time in the serum medium, the IFN-treated cells showed continued expression of T-antigen, continued proliferation, and no evidence of GFAP expression, while the controls (no IFN) began to

- 48 -

differentiate (upregulated GFAP expression) and ceased dividing. This was confirmed by a visual inspection of the coverslips -- there was a clear cut difference in cell numbers by day 4. By day 10, the IFN-treated  
5 cells were much more numerous than the controls.

The expression of the SV40 T-antigen in this construct is regulated in a dose-dependent manner. In the cell lines we have produced, maximal T-antigen expression (measured by immunofluorescence) was  
10 observed at an IFN dose of 500-1000 units/ml. At 100 units/ml we observed minimal to no expression. As would be expected, the rate of proliferation correlated with the IFN dose; there was little or no cell division at 100 units/ml of IFN.

15 In further studies with the above described Mx1 Tag EGF-responsive neural stem cells, we have shown that proliferation and differentiation can be controlled. A population of these stem cells were forced to differentiate by removing EGF and adding FBS.  
20 With the addition of 1000 Units/ml of alpha/beta IFN, clusters of flat, astrocyte-like cells began to proliferate and eventually filled the culture dishes. We have continuously maintained these cells in IFN for over 70 passages and have maintained a doubling rate of  
25 24-36 hours over this period. When probed with a panel of neural and glial-specific antibodies, these IF-treated cells were virtually all nestin- and T-antigen-positive but were weakly immunoreactive for glutamine synthetase and were GFAP-negative.

30 Upon removal of the IFN, these flat cells rapidly decreased their rate of division, lost T-antigen immunoreactivity and gradually increased glutamine synthetase and GFAP immunoreactivity. These cells survive for several months in vitro and no  
35 proliferation is evident in the continued absence of

- 49 -

IFN. Interestingly, T-antigen immunoreactivity and cellular proliferation can be re-induced with the addition of IFN. This provides a cell line with the capacity to proliferate or differentiate in a controlled fashion.

#### Example 2

Cells prepared according to Example 1 are encapsulated and implanted in a human host.

#### Preparation Of PAN/PVC Fibers

Permselective hollow fibers are prepared via a dry jet-wet spinning technique (Cabasso, Hollow Fiber Membranes, vol. 12, Kirk-Othmer Encyclopedia of Chemical Technology, Wiley, New York, 3rd Ed., pp. 492-517, 1980; Dionne, WO 92/19195; United States Patent No. 5,158,881). Asymmetric hollow fibers are cast from solutions of 12.5% polyacrylonitrile polyvinyl chloride (PAN/PVC) copolymer in dimethyl sulfoxide (w/w). Single-skinned or double-skinned fibers are produced. The fibers are collected into a non-solvent water bath, glycerinated, and dried. Cells are loaded at a density of 25,000 cells/ $\mu$ l into a PAN/PVC single-skinned hollow fiber and sealed by heat pinching.

#### Implantation Into Host

The encapsulated cells are implanted into a human host. Implantation sites include the lateral ventricles and striatum of the brain. Procedures for implantation of BAOs into the brain are described in Aebischer et al., WO 93/00127, incorporated herein by reference.

#### Example 3 - Conditional Immortalization Of Neonatal Astrocytes

A fragment containing the promoter elements of mouse mammary tumor virus (MMTV) is fused to the

- 50 -

SV40 early region cDNA. E15 rat brain derived neonatal astrocytes are transfected by electroporation and transformants selected by assaying for proliferation. Dividing cells are removed, expanded and assayed for expression of large T-antigen, using anti-large T antibodies. Transformed cells are encapsulated in BAOs and implanted in a host, substantially as described in Example 2. The BAOs are held in vivo for one month. The BAOs are then retrieved and the cell distribution in the BAOs compared to cohorts held in vitro for the same time period.

Example 4 - Collagen-Reduced Proliferation and Ascorbate-Induced Differentiation Of SCT-1 Cells

SCT-1 cells were cloned from a sciatic nerve tumor from a Po-SV40 transgenic mouse (Messing et al., J. Neuroscience, 14, pp. 3533-39 (1994)). These SCT-1 cells were immunoreactive for the Schwann cell markers S100 and Po, as well as for SV40 T-antigen.

SCT-1 cells were grown under three conditions: (1) on tissue culture plastic without ascorbate, (2) on tissue culture plastic in the presence of 50 µg/ml ascorbate to induce differentiation, and (3) suspended in Type I collagen.

On a plastic substratum in the absence of ascorbate, most cells displayed a fibroblast-like morphology. However, some bipolar cells were present. Cells doubled in 18-20 hours and displayed no contact inhibition.

SCT-1 cells grown in the presence of ascorbate demonstrated slower growth and a more robust staining for fibronectin and type IV collagen. Laminin immunoreactivity, on the other hand, was similar in control and ascorbate-induced differentiated cultures.

- 51 -

SCT-1 cells suspended in Type I collagen exhibited a bipolar morphology and a dramatic decrease in mitotic activity (i.e., doubling time was  $\geq 30$  days).

5 Example 5 - Inhibition Of BHK Cell Proliferation By Ascorbate and TGF- $\beta$

BHK cells secreting CNTF were grown in DMEM (high glucose) medium. Treatment of subconfluent BHK cultures with TGF- $\beta$ 1 (2.5 ng/ml) and ascorbate (100  $\mu$ M)  
10 reduced mitosis. In addition, the cells appeared elongated, with some cells aligning. This data indicates TGF- $\beta$ 1 and ascorbate inhibits proliferation and induces differentiation of BHK cells.

In further experiments, BHK cells secreting  
15 hNGF were treated with 2.5 ng/ml TGF $\beta$  and 100  $\mu$ M ascorbic acid prior to encapsulation in BAOs and implantation. Non-treated cells served as controls. The specific variables include: a) TGF $\beta$ /ascorbate, no Vitrogen<sup>™</sup>, b) TGF $\beta$ /ascorbate, Vitrogen<sup>™</sup>, c) no  
20 TGF $\beta$ /ascorbate, Vitrogen<sup>™</sup>, and d) no TGF $\beta$ /ascorbate, no Vitrogen<sup>™</sup>. In addition, several different polymers were used. Capsules were implanted into the striatum of adult rats. Rats were sacrificed after 3 mos.

25 Example 6 - Neural Stem Cells Proliferate In The Presence Of EGF And Differentiate In Its Absence

Neurospheres were prepared using the methods of Weiss et al., PCT/CA 92/00283. Passage 68  
neurospheres were collected and divided. Half of the  
neurospheres were triturated into a single cell  
30 suspension and half remained as clusters. A single cell count was performed on a single cell suspension and it was assumed that the clustered cells were of the same concentration. Single cells and clusters were

- 52 -

suspended separately in equal amounts of Vitrogen<sup>™</sup> and either neurosphere medium with 20 ng/ml EGF as controls, or PC-1 medium.

Cells were loaded at a density of 25,000 cells/ $\mu$ l into single-skinned hollow fiber PAN/PVC BAOs, prepared substantially as described in Example 2, and then hub sealed. The BAOs were held in either neurosphere + EGF medium or in PC-1 medium (with no EGF).

The BAOs were sacrificed after 3 days and 7 days and were stained for glial fibrillary acidic protein (GFAP) by immunocytochemistry. GFAP is an intermediate filament protein specifically expressed in astrocytes. GFAP reactivity indicates that the neural stem cells have differentiated into astrocytes. The following results were observed:

	Time (days)	GFAP Reactivity
Single cell, no EGF	3	Small % + for GFAP
Single cell, EGF	3	Negative
20 Cell clusters, no EGF	3	Small % + for GFAP
Cell clusters, EGF	3	Negative
Single cell, no EGF	7	Intense + for GFAP
Singel cell, EGF	7	Negative
Cell Clusters, no EGF	7	Intense + for GFAP
25 Cell Clusters, EGF	7	Negative
=====		

By day 7, the encapsulated neural stem cells had differentiated into astrocytes in the absence of EGF.

### 30 Example 7 - Effect Of ECM On BHK Cells

#### Preparation Of Acellular ECM

E15 rat meningeal cells obtained from 15 day old embryonic rats were plated in multiwell plates and allowed to become confluent. The cells were monolayer contracted after 2 weeks and were allowed to regrow.

- 53 -

Acellular ECM was extracted by treatment with 0.1 % Triton X-100 detergent for 30 mins, and then treatment with 5 mM  $\text{NH}_4\text{OH}$  for 3 mins.

#### BHK-hNGF Cells

5 A BHK cell line secreting NGF was produced as follows. A 2.51 kb fragment containing approximately 37 bp of the 3' end of the first intron, the double ATG sequence believed to be the protein translation start for pre-pro-NGF and the complete coding sequence and  
10 entire 3' untranslated region of the human NGF gene (Hoyle et al., Neuron, 10, pp. 1019-34 (1993)) was subcloned into the DHFR-based pNUT expression vector immediately downstream from the mouse metallothionein-1 promoter (-650 to +7) and the first intron of the rat  
15 insulin II gene (Baetge et al., Proc. Natl. Acad. Sci., 83, pp. 5454-58 (1986)).

Baby hamster kidney (BHK) cells were transfected with the pNUT-hNGF construct using the calcium phosphate method. BHK cells were grown in DMEM  
20 containing 10% fetal bovine serum, 1 x penicillin/streptomycin/ampicillin B (0.8 g/l), and L-glutamine (GIBCO) in 5%  $\text{CO}_2$  and at 37°C. Transfected BHK cells were selected in medium containing 200  $\mu\text{M}$  methotrexate (Sigma) for 3-4 weeks and resistant cells were  
25 maintained as a polyclonal population either with or without 200  $\mu\text{M}$  methotrexate.

The transformed BHK-hNGF cells were plated at a density of  $1.0 \times 10^4$  cells/well in the plates containing extracted ECM from meningeal cells. BHK-  
30 hNGF cells were also plated at the same density in control plates not containing ECM. Cells were counted using a hemacytometer after 6 DIV.

Cell counts for the control wells averaged  $4.5 \times 10^6 \pm 4.5 \times 10^5$  cells. The cell counts for th  
35 extracted ECM plates averaged  $9.9 \times 10^5 \pm 4.9 \times 10^5$

- 54 -

cells. These results show a 4.5 fold decrease in cell growth on the treated plates.

Example 8 - Adherence Of Cells To Acellular ECM On An Inner Support

- 5 In further experiments, primary menigeal cells were seeded onto a TECO™ polyurethane fiber. Such fibers are useful as inner supports in BAOs. DMEM supplemented with 10% FBS was used as the culture medium. After 2 weeks, the fibers were extracted with
- 10 0.1% Triton X-100 for 30 minutes, followed by 25 mM  $\text{NH}_4\text{OH}$  for 3 mins. Some fibers were immunostained with antifibronectin antibody to confirm the presence of acellular ECM on the fiber. Other fibers were used in a cell adhesion assay with BHK cells.

- 15 Example 9 - BHK Cell Growth On Microcarriers Encapsulated In BAOs Modified With PEO-PDMS

Preparation Of PEO-PDMS Derivatized BAOs

- Single-skinned PAN/PVC hollow fiber BAOs were produced as described in Example 2. These BAOs had an
- 20 ID of  $642.6 \pm 36.7 \mu\text{m}$ , an OD of  $787.8 \pm 32.2 \mu\text{m}$ , a wall thickness of  $67.8 \pm 16.2 \mu\text{m}$ , a BSA rejection coefficient of 100%, and a hydraulic permeability of approximately  $21.8 \text{ ml/min/m}^2/\text{mmHg}$ .

- The PAN/PVC BAOs were derivatized with PEO-
- 25 PDMS under sterile conditions. A 1% or 5% (v/v) solution of PEO-PDMS (Huls, PS073, MW = 3126 g/mole; 82% PEO by weight) was prepared by diluting 1 ml or 5 ml of PEO-PDMS to 100 ml with deionized water. The solution was sterile filtered ( $0.2 \mu\text{m}$ ) prior to
- 30 injection into a "wet" PAN/PVC membrane. The membrane was heat pinched and immersed in an aqueous solution. The fibers were rinsed with Hanks' Buffered Salt Solution after 72 hrs and prior to use with cells.



- 55 -

NGF-secreting BHK cells as described in Example 7, were loaded into the PEO-PDMS derivatized fibers as follows.

#### Loading And Sealing Procedure

5           Single cell suspensions of NGF-producing BHK cells grown to 90% confluency were rinsed with PBS (lacking calcium and magnesium), trypsinized for approximately 1 minute and pelleted by centrifugation at 1000 rpm for 3 minutes. The cells were resuspended  
10 in medium to a final cell concentration of  $2 \times 10^7$  cells/ml.

Cells were either loaded directly into the PEO-PDMS derivatized fibers, or mixed with a 0.15% Vitrogen® matrix solution or 0.5% agarose solution, and  
15 then loaded. Approximately 2.5 microliters (ul) of cells or cell/matrix slurry (10,000 cells/ul) were loaded into each fiber using a 24-gauge beveled catheter tip and a Hamilton syringe.

Capsules were sealed by mounting a 1 - 1.1 cm  
20 length of dry hollow fiber onto a hub with a septal fixture at the proximal end which has loading access for cells to be injected into the lumen of the device. After infusing 2.5  $\mu$ l of the cellular suspension, the septum was cracked off and the access port sealed using  
25 a light-cured acrylate (Luxtrak™ LCM 24, ICI Resins US, Wilmington, MA) ("hub" sealed). The capsules were subsequently "tethered" by placing a 1.5 cm 0.020" silastic tube over the acrylic hub.

The following BAOs were prepared in this  
30 manner:

1. control underivatized jacket, no matrix;
2. control underivatized jacket, Vitrogen® matrix;
3. control underivatized jacket, agarose matrix;
4. 1% PEO-PDMS derivatized jacket, no matrix;
- 35 5. 1% PEO-PDMS derivatized jacket, Vitrogen® matrix;
6. 1% PEO-PDMS derivatized jacket, agarose matrix;
7. 5% PEO-PDMS derivatized jacket, no matrix;
8. 5% PEO-PDMS derivatized jacket, Vitrogen matrix;

- 56 -

9. 5% PEO-PDMS derivatized jacket, agarose matrix;  
The BAOs were maintained at ambient O<sub>2</sub> for 4 days after  
encapsulation, and then maintained at low O<sub>2</sub> levels (50  
mmHg) for the duration of the study. Figure 2 shows  
5 NGF secretion (measured by ELISA) after 4, 11 and 25  
days.

The NGF release data indicates that the  
matrix alone has little effect on the output of the  
cells. However, in the presence of PEO-PDMS, the NGF  
10 release is substantially lower when used with agarose  
and without a matrix but not affected by when used with  
Vitrogen™. In addition, the percent of PEO-PDMS used  
in the modification apparently had little effect on NGF  
release. From the histology data, the BHK cells  
15 encapsulated with agarose had an elongated morphology  
and lined the walls of the device; however, very few  
cells were viable within the agarose itself. The BHK  
cells loaded with agarose in PEO-PDMS-modified fibers  
also lined the inner luminal surface of the capsule but  
20 had a round morphology. There were fewer cells in the  
PEO-PDMS-PAN/PVC modified fibers than there were in the  
unmodified fibers with agarose, indicating that cell  
growth was controlled. The cells in Vitrogen™ loaded  
devices were not affected by the fiber modification  
25 neither were those encapsulated without a matrix.

BHK cells in unmodified fibers with a  
Vitrogen™ matrix were well distributed with  
approximately 75% viability. There was some cell  
necrosis in the center of the device. PEO-PDMS  
30 modification did not affect cell distribution,  
viability or morphology. With agarose as the matrix,  
cell distribution was excellent with cell viability  
approximating 90%. The cell morphology of BHK cells  
was affected by PEO-PDMS derivatization of the membrane  
35 (1% and 5%) when an agarose matrix was used. The cells

- 57 -

were elongated in unmodified P(AN/VC) and more rounded in modified P(AN/VC). Cells were not located in the agarose matrix, but in a space between the fiber and agarose "rod". Without a matrix, the cell distribution is less satisfactory as cells have formed large clusters and the viability is lower (approximately) 60%.

Example 10 - BHK Cell Growth On Cultispheres™

NGF-secreting BHK cells as described in Example 7 were grown on collagen coated Cultispheres™. Cultispheres™ (1 g) were rehydrated in 50 ml of PBS (CMF).  $15 \times 10^6$  cells were suspended in 1 ml of rehydrated Cultispheres™. The cell/Cultispheres™ suspension was loaded directly into single-skinned PAN/PVC hollow fibers, or mixed in a 1:1 ratio with 1% agarose, and then loaded into single-skinned PAN/PVC hollow fibers. The fibers were prepared substantially as described in Example 2, and loaded and sealed substantially as described in Example 9.

The encapsulated cells were tested for NGF secretion by ELISA at 2, 15, and 56 days. The medium was replenished 3 times/week. Figure 3 shows the results. The NGF release data indicate that BHK cells can grow on Cultispheres™ microcarriers when encapsulated in BAOs (Figure 3, legend: n-mat-008, 0709-n-m). Further, the NGF release data indicate that BHK cell/Cultispheres™ can be further suspended in an agarose matrix, with little or no effect on NGF secretion (Figure 3, legend: agaro-008, agaro-0709).

Example 11 - Use Of A Peptide Derivative To Control Cell Number And Cell Distribution

In this example, the luminal surface of the BAO was modified with PEO-PDMS, poly(d-lysine), or

- 58 -

PepTite 2000™, a commercially available cell adhesion protein.

In this study baby hamster kidney (BHK) cells were used because they are anchorage-dependent cells and have been shown previously to adhere to the hollow fiber membrane.

#### Fibers

Single-skinned PAN/PVC BAOs were produced substantially as described in Example 2. The fiber dimensions were 625μm ID, 50μm wall thickness. These fibers were sterilized by immersion in 70% ethanol overnight and then rinsed repeatedly with HBSS.

#### Derivatization

1. PDMS-PEO: BAOs were derivatized with PDMS-PEO as follows. A 1% (v/v) solution of PEO-PDMS (purchased from Huls, PSO73, Mw=3126g/mole; 82% PEO by weight) was prepared by diluting 1 ml of PEO-PDMS to 100 ml with deionized water. The solution was sterile filtered (0.2μm) prior to injection into a sterile membrane. The membrane was immersed in a 1% PEO-PDMS aqueous solution for 24 h at room temperature. The fibers were rinsed with water (3 times) and then HBSS prior to injection of cells.

2. PDL: BAOs were derivatized with poly(d-lysine) as follows. Fibers were immersed in an aqueous solution of 67,000 molecular weight poly(d-lysine) at 2 mg/ml for 24 h at room temperature. The fibers were rinsed 3 times with water and then 3 times with HBSS prior to injection of cells.

3. PepTite 2000™: BAOs were derivatized with PepTite 2000™ as follows. Fibers were immersed in a PBS solution of 100 mg/ml of PepTite 2000™ previously dissolved in ethanol. The fibers were immersed in this solution for 24 h at room temperature and then rinsed 3 times with PBS prior to injection of cells.

- 59 -

4. PAN/PVC: Control fibers were immersed in HBSS for 24 h at room temperature prior to injection of cells.

#### Cells

5 BHK cells were loaded into the derivatized fibers at a concentration of 5000 cells/ $\mu$ l. The fibers were sealed and placed in screw-cap tubes containing serum-free medium (PC1 medium) and then placed on a rotating drum for up to two weeks in an incubator set  
10 at 37°C. The drum speed was 2 rpm. At the appropriate time the fibers were fixed in 4% paraformaldehyde, dehydrated in graded ethanol and stained with hematoxylin and eosin (H&E) for histological analysis of cell distribution with osmium tetroxide.

15 PAN/PVC-derivatized membranes showed a good distribution of cells when derivatized with poly(d-lysine) and a more even distribution of cells when derivatized with PepTite™ 2000, as determined by osmium tetroxide staining.

20 For PAN/PVC membranes, PepTite 2000™ modifications were attempted in two ways. First, the inner luminal surface of the membranes was modified only and second, both the inner luminal surface and the outer surface were treated. Empty BAOs (i.e. free of  
25 cells) were analyzed for total amino acids, to determine the binding of poly(d-lysine) or PepTite 2000™. The total amino acid bound to control, unmodified membranes was approximately 0.2  $\mu$ g/BAO. The total amino acid bound to poly(d-lysine)-modified  
30 membranes was approximately 0.8  $\mu$ g/BAO for modified inner luminal surface membranes, and approximately 2.6  $\mu$ g/BAO for membranes where both the inner luminal surface and outer surface had been modified. Similar BAOs loaded with BHK cells were maintained for 14 days,  
35 and then examined histologically. In control

- 60 -

unmodified BAOs, cells were unevenly located in large clusters over the entire length of the fiber. In contrast, in both types of modified fibers, there was an even distribution of cells along the luminal surface of the membrane.

These results suggest that poly(d-lysine) and PepTite 2000™ are effective in promoting cell attachment to the BAO luminal surface, and thus are effective in controlling cell distribution within the BAO.

Example 12 - Use Of ECM Molecules To Control Growth Of Neurospheres

Passage 71 mouse neurospheres were prepared substantially as in Example 1. Multi-well dishes were precoated with 0.5% agarose (Sea-Prep™) to keep the neurospheres from attaching to the plastic dishes. Cells were plated at a density of approximately 50,000 cells per well into the designated matrices for the experiment. Three wells were used for each matrix condition; two of the wells contained PC-1 medium (control) and one contained neurospheres + EGF medium(EGF).

A dermal-derived Type 1 collagen (Zydest™; (Collagen Biomedical, Palo Alto)), a tendon-derived Type 1 collagen (Organogenesis™), a Type 1 collagen (Vitrogen™, Celtrix, Santa Clara), and agarose were evaluated for effectiveness in controlling cell growth, alone, or in combination with laminin or PepTite 2000™, or both.

At 4 days and 14 days cells were assayed by staining with fluorescein diacetate/propidium iodide (FDA/PI), and were evaluated for cell viability, growth, and differentiation. Cells exposed to a combination of the Organogenesis™ collagen, Peptide

- 61 -

2000™ and laminin showed the highest amount of differentiation, with about 90% of the cells having undergone differentiation. About 80% of cells exposed to a combination of agarose, Peptide 2000™ and laminin  
5 had differentiated.

Example 13 - Use Of An Inert Scaffold To Control BHK Cell Number And Cell Distribution In A BAO

Two types of PAN/PVC fibers (substantially as described in Example 2) were used: a single-skinned  
10 fiber having the permselective membrane on the outer surface, and a single-skinned fiber having the permselective membrane on the inner surface.

First, PAN/PVC fibers were deglycerinized and sterilized by immersion in 70% sterile filtered ethanol  
15 overnight. The fibers were then rinsed with sterile water three times over the course of about 1 to 2 hours.

Next, a 15% concentration poly(hydroxyethyl methacrylate) ("PHEMA") scaffold matrix was prepared by  
20 dissolving 1.5g PHEMA in 10 ml of 95% ethanol (190 proof, Quantum). In addition, a 10% concentration poly(hydroxyethyl methacrylate-co-methyl methacrylate) ("PHEMA/MMA") scaffold matrix was made by dissolving  
25 the polymers more easily, the solution was stirred and heated.

The PHEMA or PHEMA/MMA solutions were loaded with a syringe into the PAN/PVC fibers, which were then immersed in sterile water. The loaded fibers were left  
30 in water for more than 1 hour to ensure precipitation of the scaffolds and diffusion of ethanol out of the core. The ends of the fibers were cut off because they were often clogged with either PHEMA or PHEMA/MMA. The fibers were transferr d to Petri dishes containing

- 62 -

sterile HBSS. BAOs loaded with PHEMA, PHEMA/MMA and control BAOs were prepared in this manner.

NGF-secreting BHK cells (described in Example 7) were grown in 10% DMEM with glutamine and antibiotics added. The cells were gently pulled off the flasks with 0.25% trypsin, washed and resuspended in PC1 media to a density of  $1 \times 10^7$  cells/ml.

The BHK-NGF cells were loaded into the fibers at a density of 10,000 cells/ $\mu$ l using a 22 gauge Teflon catheter. BAOs were sealed by heat pinching.

Five BAOs of each type were prepared. Four were placed in a 24 well plate with 1 ml of PC-1 media. The fifth was placed in approximately 3-4 ml of PC-1 media in a vertical tube. After 24 hours, the BAOs placed in the vertical tube were cut open along the lumen (longitudinal cross-section) and analyzed after 24 hours by staining with fluorescein diacetate/propidium iodide (FDA/PI) for cell distribution within the fibers. When viewed under a fluorescent microscope, FDA stains viable cells green and PI stains non-viable cells red.

The remaining BAOs were cultured for 2 weeks. The BAOs were maintained at ambient  $O_2$  for 4 days after encapsulation, and then maintained at low  $O_2$  levels (50 mmHg) for the duration of the study.

The functionality of BHK-NGF cells was tested by measuring NGF secretion (by ELISA) after 4, 7 and 14 days. The cells PHEMA or PHEMA/MMA scaffold-containing BAOs continued to secrete NGF over the duration of the study. Both the histology and NGF-release data indicate that PHEMA and PHEMA-MMA scaffolds allow maintenance of functionally-active viable cells distributed along the BAO. The results with 10% PHEMA-MMA scaffolds were the best.



- 63 -

Example 14 - Use Of An Inert Scaffold To Control PC12A Cell Number And Cell Distribution In A BAO

The effectiveness of PHEMA and PHEMA/MMA inert scaffolds were evaluated for effectiveness in  
5 controlling the distribution of PC12 in BAOs.

Single-skinned fibers were prepared substantially as described in Example 2. These fibers typically had the following characteristics: 642  $\mu\text{m}$  ID, 787  $\mu\text{m}$  OD, wall thickness 68  $\mu\text{m}$ , rejection  
10 coefficient 100% (BSA), hydraulic permeability 22  $\text{ml/min/m}^2/\text{mm Hg}$ .

Inert scaffolds of PHEMA and PHEMA/MMA were prepared in these fibers, substantially as described in Example 13.

15 PC12A cells ( $1 \times 10^7$  cells/ml) in HL-1 medium were injected into the lumens of the fibers, and the fibers heat sealed to produce BAOs approximately 1 cm long. The devices were held at 37° C at ambient pressures in HL-1 media. To assess functionality of  
20 the encapsulated cells, the BAOs were tested for basal and  $\text{K}^+$ -evoked catecholamine release at 1, 14 and 28 days. The results are shown in Figures 4A and 5A (basal release) and Figures 4B and 5B ( $\text{K}^+$ -evoked release). These results show that PC12 cells  
25 encapsulated in BAOs having inert PHEMA and PHEMA/MMA scaffolds retain their functionality, as measured by catecholamine release.

Cell distribution in the BAOs was evaluated after 5 hours and 4 days by vertically cutting the  
30 fibers in half, and staining the cells with FDA/PI. These results indicated that PHEMA and PHEMA/MMA scaffolds are nontoxic and support cell viability and functionality of PC12 cells.

- 64 -

Example 15 - Use of An NWPf To Promote Cell Adhesion and Differentiation in a BAO.

Six types of NWPf (Reemay, Tennessee) were tried: #2470, #2295, #2024, #2055, #2033, #2250 (Reemay #s). The fabric received was in flatsheet form: discs were punched out to fit into 24 well plates. The NWPf discs were immersed in 1% sodium dodecyl sulphate (SDS), w/v for 6 h and then rinsed with water (3 times). The discs were then immersed in 1% sulfuric acid (v/v in H<sub>2</sub>O) for 13 h (overnight) and then rinsed 3 times with water. The discs dried on a paper towel and then sterilized by autoclaving.

The discs were cultured with 3 cell types to test for cell adhesion: BHK, AT-3, and TSA cells. Approximately 100,000 cells were added to a 24 well plate containing one of the above 6 NWPf discs in PC1 media. A serum-free medium was used to test for cell adhesion without the inference of serum (except for TSA cells). After 4 days, the BHK and AT-3 cells were examined for adhesion by PDA/PI. The cells had an elongated morphology and appeared to adhere on Reemay #2250, and 2055. At 10 days, BHK were growing best on #2250. AT-3 cells best adhered to 2024 and 2295. AT-3 cells grew best on 2024 at 10 days. TSA cells (in 10% FCS) after 1 day had an elongated morphology when grown on #2250, #2055, and grew best on #2024. At 7 days, TSA cells were growing best on #2055.

Example 16 - SV40/DBH-NGF Cells on Microcarriers Suspended in Matrix Material

Regulatory elements of the dopamine  $\beta$ -hydroxylase (DBH) gene (Hoyle et al., J. Neurosci., 14, pp. 2455-63 (1994)) were utilized to direct the coexpression of the SV40 T-antigen (tsa58) (DBH-SV) and human growth factor (DBH-hNGF) in transgenic mice.

- 65 -

Coexpression of the chimeric genes resulted in neoplasms in the adrenal medulla and noradrenergic sympathetic ganglia. A tumor of the celiac region from one of these mice was dissected and the tumor tissue was mechanically dissociated and placed in cell culture (DMEM, 10% FBS, 37 C, 5% CO<sub>2</sub>). Two distinct cell types, large flat fibroblast-like cells and small phase-bright cells having extensive neurite processes, were present from the initial culture period. The small cells exhibited features of catecholaminergic neuron including immunoreactivity for neurofilament-L and -M and tyrosine hydroxylase. Immunoreactivity for the SV40 T-antigen was also present in these cells, in contrast to the fibroblast-like cells, which were negative for these markers. The cells were passaged weekly.

Cells were grown on an Cultispheres<sup>™</sup> as described in Example 10, and were suspended in either an alginate (1.5%) or agarose (1%) matrix. In the case of the alginate matrix, the alginate was cross-linked by immersing the devices in a 1% aqueous calcium chloride solution for 5 minutes after encapsulation. The cells/Cultispheres<sup>™</sup>/matrix were loaded into PAN/PVC hollow fibers as described in Example 10.

The cell-loaded BAOs were maintained in serum-free medium conditions. At selected time intervals, devices were washed prior to 30 minute incubations in HBSS. The basal medium was collected and assayed by HPLC-ED for L-dopa. The devices continued to secrete L-dopa at 80 days in vitro.

Example 17 - Genetically Modified Myoblasts Secrete NGF After Differentiation

Mouse C<sub>2</sub>C<sub>12</sub> myoblast cells have the advantage of being rapidly dividing cells, can be grown in large

- 66 -

quantity in vitro, transferred to express proteins and selected clones can be isolated. Mouse C<sub>2</sub>C<sub>12</sub> cells can be differentiated into a post-mitotic state upon exposure to low serum containing medium. These cells are thus advantageous for encapsulation in comparison to dividing cells whose proliferation cannot be controlled -- the latter cells continue to divide until they fill the capsule and an accumulation of debris is observed after several months.

10 We tested the ability of a transfected C<sub>2</sub>C<sub>12</sub> myoblast line to continue secreting hNGF after fusion into myotubes has taken place.

C<sub>2</sub>C<sub>12</sub> myoblast cells (ATCC) were transfected with a hNGF gene, using the Lipofectamine reagent following the manufacturer's protocol (Gibco). Cells were selected in 1 mg G418 for 2 weeks and then tested for NGF output. Cells were plated at about 260 cells/cm<sup>2</sup> in T75 flasks and 24 well plates with or without cover slips. Cells were fed twice a week with DMEM and 10% FBS. Cells were harvested at 1, 5, 8, and 13 days, at which time NGF secretion was measured. The results are shown in Table 2.

- 67 -

Table 2 : Time Course of C<sub>2</sub>C<sub>12</sub> screening For NGF Secretion

	cell line secretion	time in culture	% confluency	% fusion	NGF
5	parent	day 1 3/23/95	25	0	nt
	+NGF	day 1 3/23/95	25	0	nt
10	parent	day 5	40	0	nt
	+NGF	day 5	30	0	nt
	parent	day 8	98	5	***
	+NGF	day 8	90	1	0.0018
	parent	day 13	1000	80	ND
	+NGF	day 13	100	50	0.014

- 15 % fusion = % myoblast cells forming into myotubes  
 "+ NGF" indicates C<sub>2</sub>C<sub>12</sub> cells transfected with hNGF gene  
 "parent" indicates untransfected C<sub>2</sub>C<sub>12</sub> cells  
 NGF secretion measured in pg/ml/cell/24 hr.  
 nt = not tested  
 ND = not detected
- 20 \*Day 8 Cells have increased in size, preparing for fusion.  
 \*Day 8 More fusion in the culture dishes than in the T  
 flasks (Flow cytometry done on flasks)

These results suggest that transfected myoblasts  
 continue to secrete the desired heterologous product,  
 25 i.e., NGF, after terminal differentiation into  
 myotubes.

Example 18 - Genetically Modified Myoblasts Secrete  
 CNTF After Differentiation

We transfected mouse C<sub>2</sub>C<sub>12</sub> myoblasts with the  
 30 pNUT expression vector (Baetge et al., Proc. Natl.  
 Acad. Sci. USA, 83, pp. 5454-58 (1986) containing the

- 68 -

human CNTF gene. The level of expression of the hCNTF gene and the bioactivity of the factor were analyzed by Northern blot, Elisa assay, and ChAT activity on embryonic spinal cord motoneuron cultures. One C<sub>2</sub>C<sub>12</sub> clone was found to secrete approximately 0.2 g CNTF/10 cells/day. The rate of secretion of hCNTF was not altered upon differentiation of C<sub>2</sub>C<sub>12</sub> myoblasts. Finally, C<sub>2</sub>C<sub>12</sub>-hCNTF could rescue motoneurons from axotomy-induced cell death. Morphological study of the facial nuclei of newborn rats, 1 week after axotomy, indicated that only 13.4% of the facial motoneurons were retained in control animals whereas a continuous release of hCNTF resulted in 22.7% survival of the motoneurons.

- 69 -

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: CytoTherapeutics, Inc.
- (ii) TITLE OF INVENTION: METHODS AND COMPOSITIONS OF GROWTH CONTROL FOR CELLS ENCAPSULATED IN BIOARTIFICIAL ORGANS
- (iii) NUMBER OF SEQUENCES: 4
- (iv) CORRESPONDENCE ADDRESS:
  - (A) ADDRESSEE: James F. Haley, Jr. , FISH & NEAVE
  - (B) STREET: 1251 Ave. of the Americas
  - (C) CITY: New York
  - (D) STATE: New York
  - (E) COUNTRY: USA
  - (F) ZIP: 10020-1104
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Floppy disk
  - (B) COMPUTER: IBM PC compatible
  - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
  - (D) SOFTWARE: PatentIn Release #1.0, Version #1.25
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER:
  - (B) FILING DATE:
  - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: US 08/279,773
  - (B) FILING DATE: 20-JULY-1994
  - (C) APPLICATION NUMBER: US 08/432,698
  - (D) FILING DATE: 09-MAY-1995
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Haley Jr., James F.
  - (B) REGISTRATION NUMBER: 27,794
  - (C) REFERENCE/DOCKET NUMBER: CITI-22 CIP PCT
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: (212) 596-9000
  - (B) TELEFAX: (212) 596-9090

- 70 -

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 9 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

Cys Asp Pro Gly Tyr Ile Gly Ser Arg  
1 5

## (2) INFORMATION FOR SEQ ID NO:2:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 6 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Gly Arg Gly Asp Ser Pro  
1 5

## (2) INFORMATION FOR SEQ ID NO:3:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 19 amino acids  
(B) TYPE: amino acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: NO



- 71 -

(iv) ANTI-SENSE: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

Cys	Ser	Arg	Ala	Arg	Lys	Gln	Ala	Ala	Ser	Ile	Lys	Val	Ala	Val	Ser
1				5					10					15	

Ala Asp Arg

(2) INFORMATION FOR SEQ ID NO:4:

- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 5 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

Gly	Gly	Gly	Gly	Gly
1				5

- 72 -

WE CLAIM:

1. A method for controlling distribution of cells within a bioartificial organ comprising exposing the cells to a treatment that inhibits cell proliferation or promotes cell differentiation, the treatment being effective upon in vivo implantation in a host.
2. The method according to claim 1, wherein the treatment comprises transforming the cells with a proliferation-promoting gene operatively linked to a regulatable promoter such that expression of the proliferation promoting gene can be inhibited upon in vivo implantation in a host.
3. The method according to claim 2, wherein the proliferation promoting gene is an oncogene.
4. The method according to claim 3, wherein the oncogene is selected from the group consisting of c-myc, v-mos, v-Ha-ras, SV40 early region and E1-A.
5. The method according to claim 2, wherein the regulatable promoter is selected from the group consisting of tetracycline-responsive promoters, interferon-responsive promoters, glucocorticoid-responsive promoters and retroviral long terminal repeat promoters.
6. The method according to claim 5, wherein the promoter is the Mx1 promoter and the proliferation-promoting gene is SV40 early region.
7. The method according to claim 1, wherein the treatment comprises transforming the cells with a

- 73 -

proliferation-suppressing gene operatively linked to a regulatable promoter such that expression of the proliferation-suppressing gene can occur upon in vivo implantation in a host, but can be inhibited in vitro.

8. The method according to claim 7, wherein the proliferation-suppressing gene is a tumor suppressor gene selected from the group consisting of the p53 gene and the RB gene.

9. The method according to claim 1, wherein the treatment comprises transforming the cells with a differentiation-inducing gene operatively linked to a regulatable promoter such that expression of the differentiation-inducing gene can occur upon in vivo implantation in a host, but can be inhibited in vitro.

10. The method according to claim 9, wherein the differentiation-inducing gene is the high mobility group chromosomal protein 14.

11. The method according to claim 1, wherein the treatment comprises exposing the cells to a proliferation-inhibiting compound or to a differentiation-inducing compound.

12. The method according to claim 11, wherein the proliferation-inhibiting compound or differentiation-inducing compound is selected from the group consisting of phorbol ester, heparin, TGF- $\beta$ , ascorbate, mitomycin, BrdU, PGE<sub>1</sub>, dbcAMP, Ara-C, nicotinamide, and SGP.

13. The method according to claim 1, wherein the treatment comprises removing the cells from

- 74 -

exposure to a proliferation-stimulating compound or to a differentiation-inhibiting compound.

14. The method according to claim 13, wherein the proliferation-stimulating compound or differentiation-inhibiting compound is selected from the group consisting of EGF, TGF- $\alpha$ , and amphiregulin, and the cells are neural stem cells.

15. The method according to claim 13, wherein the proliferation-stimulating compound or differentiation-inhibiting compound is a mixture of a member of the FGF family of growth factors and at least one member of the CNTF or NGF family of growth factors, and the cells are neural stem cells.

16. The method according to claim 13, wherein the proliferation-stimulating compound or differentiation-inhibiting compound is a multilineage growth factor and the cells are hematopoietic stem cells.

17. A method for controlling distribution of cells within a bioartificial organ comprising exposing at least one growth surface within the bioartificial organ to a treatment that inhibits cell proliferation or promotes cell differentiation, the treatment being effective upon in vivo implantation in a host.

18. The method according to claim 17, wherein the treatment comprises coating the growth surface with an effective amount of at least one extracellular matrix molecule.

- 75 -

19. The method according to claim 18, wherein the growth surface coated with the extracellular matrix molecule comprises an inner luminal surface of the bioartificial organ.

20. The method according to claim 18, wherein the growth surface coated with the extracellular matrix molecule comprises microcarriers encapsulated within the bioartificial organ.

21. The method according to claim 1, wherein the treatment comprises suspending the cells in a hydrogel matrix within the bioartificial organ, the matrix being derivatized with a proliferation-inhibiting or differentiation-inducing peptide or peptide sequence.

22. The method according to claim 21, wherein the hydrogel matrix is composed of agarose derivatized with a peptide sequence selected from the group consisting of an RGD-containing sequence, (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), a YIGSR-containing sequence (TyrIleGlySerArg; AA<sub>5</sub>-AA<sub>9</sub> of SEQ ID NO:1) and an IKVAV-containing sequence (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3).

23. The method according to claim 20, wherein the microcarriers are suspended in the hydrogel matrix of any one of claims 21-22.

24. A method for controlling distribution of cells within a bioartificial organ comprising exposing at least one growth surface within the bioartificial organ to a treatment that promotes cell attachment thereto.

- 76 -

25. The method according to claim 24, wherein the treatment comprises derivatizing or adsorbing a compound onto the growth surface, the compound being selected from the group consisting of poly(d-lysine), an RGD-containing sequence (ArgGlyAsp; AA<sub>2</sub>-AA<sub>4</sub> of SEQ ID NO:2), a YIGSR-containing sequence (TyrIleGlySerArg; AA<sub>5</sub>-AA<sub>9</sub> of SEQ ID NO:1) and an IKVAV-containing sequences (IleLysValAlaVal; AA<sub>11</sub>-AA<sub>15</sub> of SEQ ID NO:3).

26. The method according to claim 24, wherein the treatment comprises forming an inert scaffold within the bioartificial organ.

27. The method according to claim 26, wherein the inert scaffold is formed from a compound selected from the group consisting of poly(hydroxyethyl methacrylate) and poly(hydroxyethyl methacrylate-co-methyl methacrylate).

28. A method for controlling distribution of cells within a bioartificial organ comprising exposing at least one growth surface within the bioartificial organ to a treatment that inhibits cellular attachment thereto.

29. The method according to claim 28, wherein the treatment comprises derivatizing or adsorbing PEO-PDMS onto the growth surface.

30. The method according to claim 1 wherein the treatment comprises exposing the cells to a proliferation-inhibiting dose of UV radiation.

- 77 -

31. A bioartificial organ comprising:

- (a) a biocompatible, permselective jacket; and
- (b) a core of living cells, wherein the cells are exposed to a treatment according to any one of claims 1-30, such that the cell distribution in the bioartificial organ can be controlled upon in vivo implantation in a host.

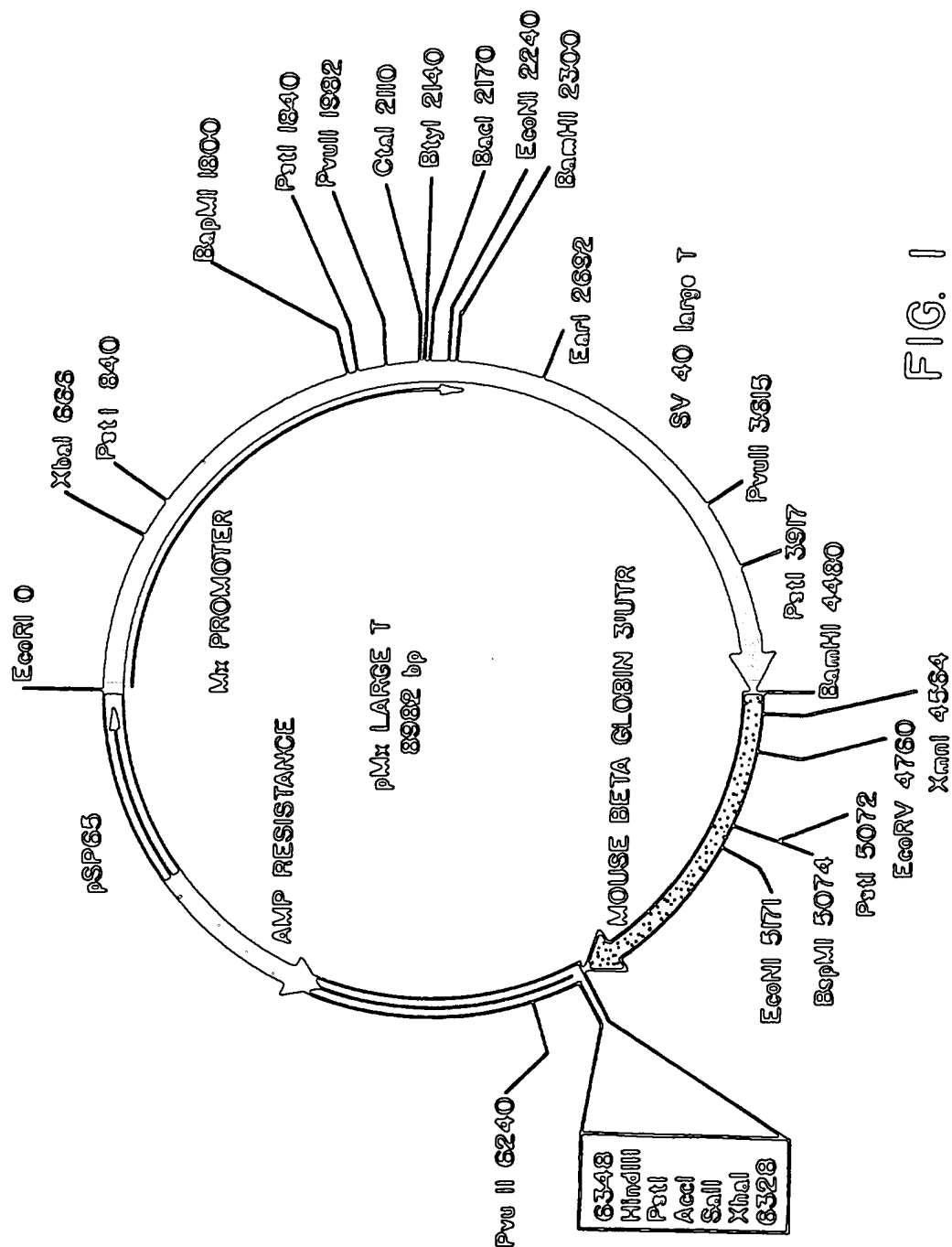


FIG. 1



2 / 5

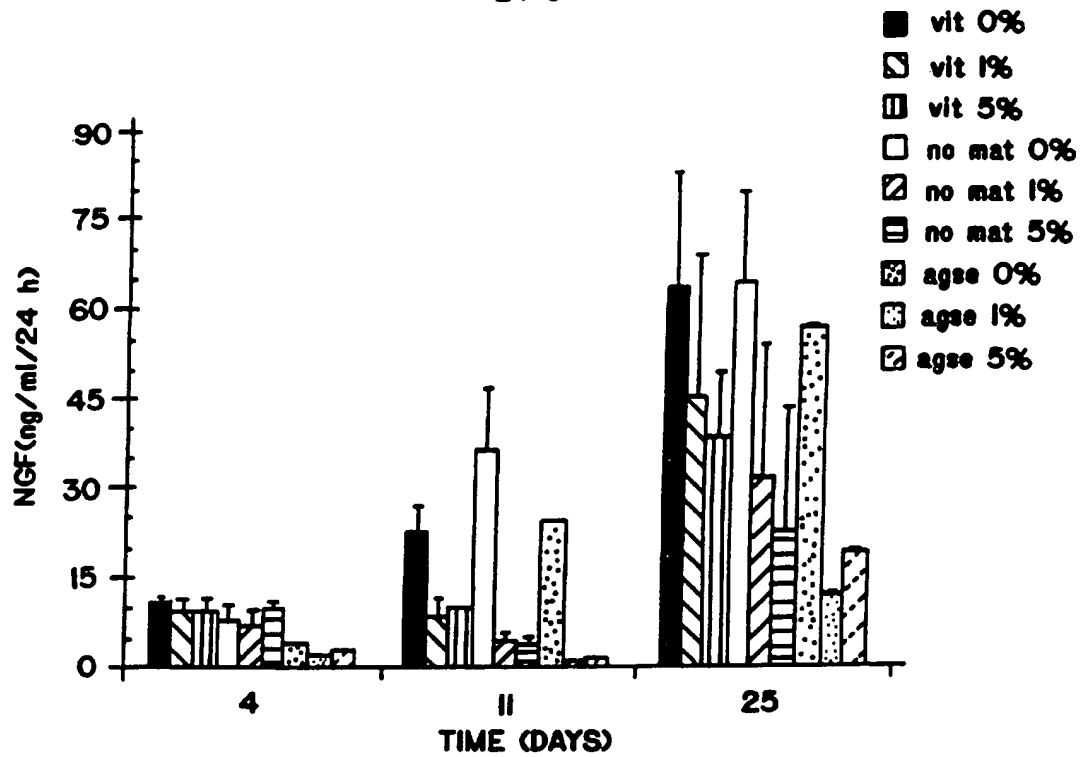


FIG. 2

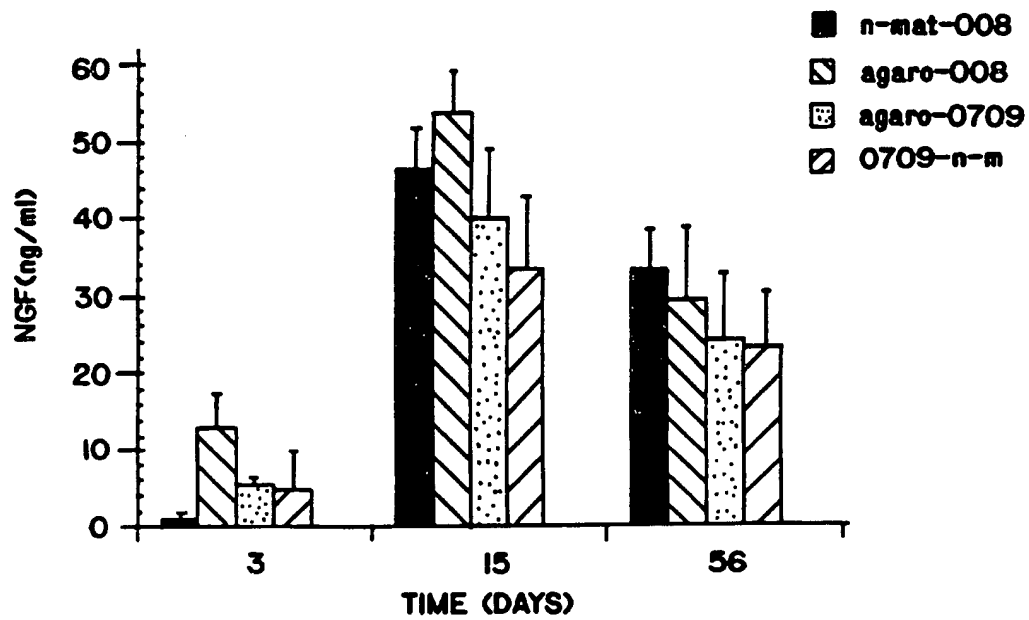


FIG. 3

3/5

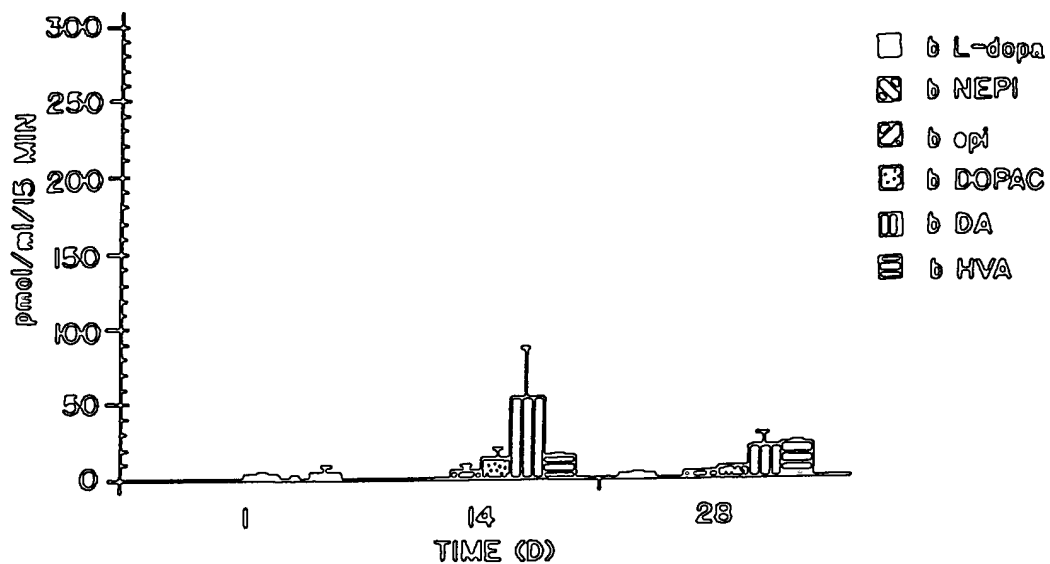


FIG. 4A

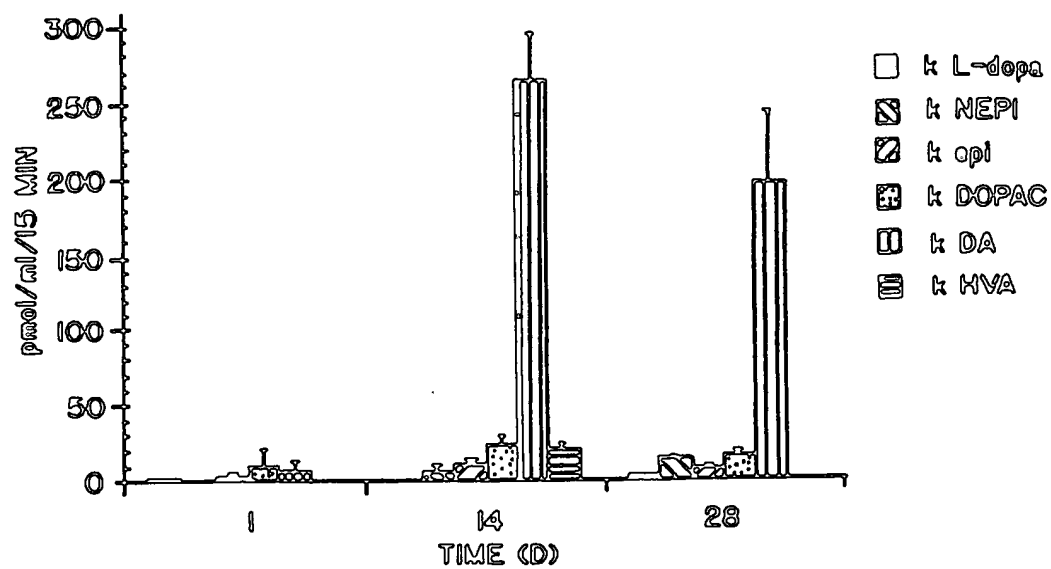


FIG. 4B

4 / 5

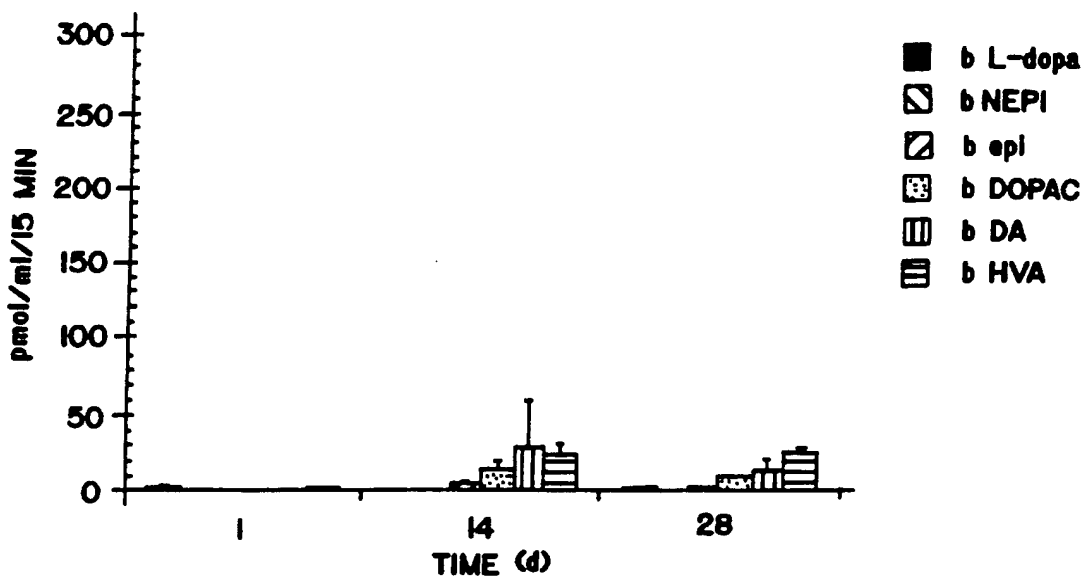


FIG. 5A

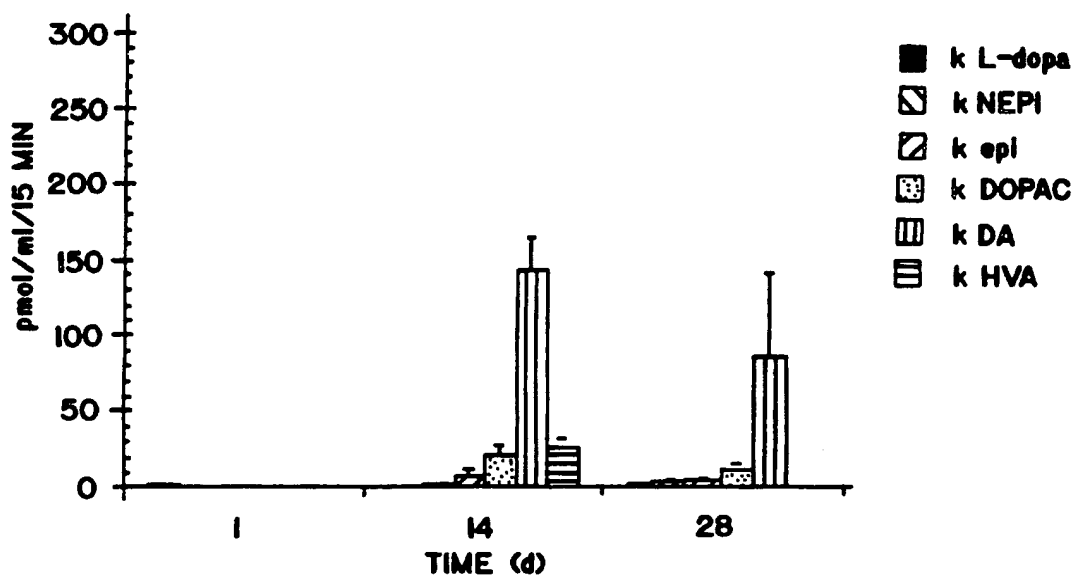


FIG. 5B

5/5

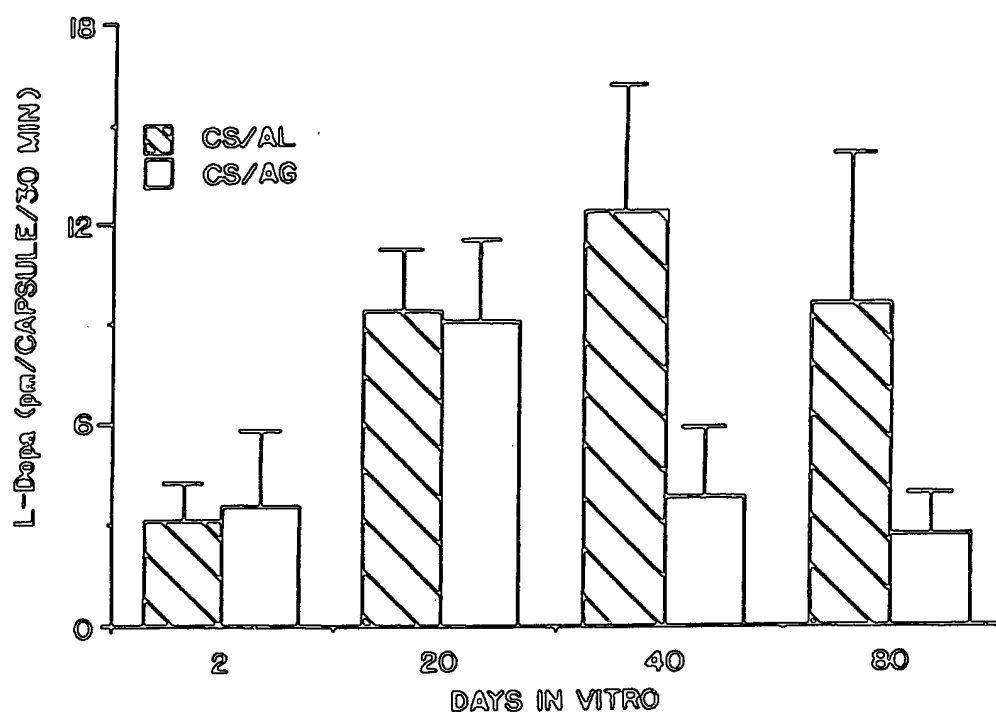


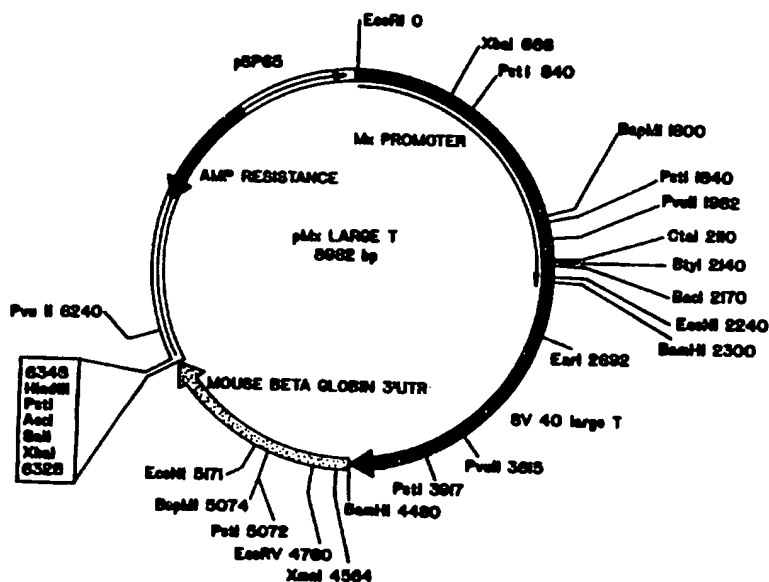
FIG. 6



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>C12N 15/12, 5/10, A61K 35/12</b>		<b>A3</b>	(11) International Publication Number: <b>WO 96/02646</b>
			(43) International Publication Date: 1 February 1996 (01.02.96)
(21) International Application Number: <b>PCT/US95/09281</b>		(74) Agents: <b>HALEY, James, F., Jr. et al.; Fish &amp; Neave, 1251 Avenue of the Americas, New York, NY 10020-1104 (US).</b>	
(22) International Filing Date: <b>20 July 1995 (20.07.95)</b>			
(30) Priority Data: 08/279,773 20 July 1994 (20.07.94) US 08/432,698 9 May 1995 (09.05.95) US		(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).	
(71) Applicant: <b>CYTOTHERAPEUTICS, INC. [US/US]; 2 Richmond Square, Providence, RI 02906 (US).</b>			
(72) Inventors: <b>SCHINSTINE, Malcolm; 116 Oriole Drive North, Ben Salem, PA 19020 (US). SHOICHET, Molly, S.; Dept. of Chemical Engineering, University of Toronto, Room 213, 200 College Street, Toronto, Ontario M5S 1A4 (US). GENTILE, Frank, T.; 57 Lawn Avenue, Warwick, RI 02888 (US). HAMMANG, Joseph, P.; 3 Prospect Street, Barrington, RI 02806 (US). HOLLAND, Laura, M.; 1009 Davis Grove Road, Horsham, PA 19044 (US). CAIN, Brian, M.; 48 Irving Street, Everett, MA 02149 (US). DOHERTY, Edward, J.; 10 Nathan Road, Mansfield, MA 02048 (US). WINN, Shelley, R.; 13 Haven Street, Smithfield, RI 02917 (US). AEBISCHER, Patrick; Chemin de Plantaz 65, CH-1095 Lutry (CH).</b>		<p><b>Published</b></p> <p><i>With international search report.</i></p> <p><i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	
		(88) Date of publication of the international search report: 17 May 1996 (17.05.96)	

(54) Title: GROWTH CONTROL FOR CELLS ENCAPSULATED WITHIN BIOARTIFICIAL ORGANS



## (57) Abstract

This invention relates to methods and compositions of controlling cell distribution within a bioartificial organ by exposing the cells to a treatment that inhibits cell proliferation, promotes cell differentiation, or affects cell attachment to a growth surface within the bioartificial organ. Such treatments include (1) genetically manipulating cells, (2) exposing the cells to a proliferation-inhibiting compound or a differentiation-inducing compound or removing the cells from exposure to a proliferation-stimulating compound or a differentiation-inhibiting compound; exposing the cells to irradiation, and (3) modifying a growth surface of the BAO with ECM molecules, molecules affecting cell proliferation or adhesion, or an inert scaffold, or a combination thereof. These treatments may be used in combination.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/US 95/09281

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 C12N15/12 C12N5/10 A61K35/12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 C07K C12N A61K A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,91 09939 (FELDMANN, M. ET AL.) 11 July 1991 see page 3, line 31 - page 4, line 31 see page 5, line 14 - line 18; claims; table 1 ---	1-4,31
E	WO,A,95 28166 (CYTOTHERAPEUTICS) 26 October 1995 see the whole document ---	1,31
T	WO,A,94 29442 (BASF AKTIENGESELLSCHAFT) 22 December 1994 see claims 1-4,11,24-27,34-41 ---	1,2,5
Y	WO,A,91 13150 (LUDWIG INSTITUTE FOR CANCER RESEARCH & MEDICAL RESEARCH COUNCIL) 5 September 1991 see claims 1-5,8,12-14,18-20,22-26 ---	1-4
-/--		

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- \* "A" document defining the general state of the art which is not considered to be of particular relevance
- \* "E" earlier document but published on or after the international filing date
- \* "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \* "O" document referring to an oral disclosure, use, exhibition or other means
- \* "P" document published prior to the international filing date but later than the priority date claimed

- \* "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \* "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \* "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \* "&" document member of the same patent family

Date of the actual completion of the international search

16 January 1996

Date of mailing of the international search report

02.04.96

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

CHAM BONNET, F

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 95/09281

C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PHYSIOLOGICAL RESEARCH, vol.43, no.2, pages 117 - 120 FARGHALI, H. ET AL. 'The concept of application of immobilized and perfused mammalian cells (a bioreactor model) in biomedical research' see the whole document ---	1,31
Y	DE,A,41 23 629 (BODZIONY, J.) 20 February 1992 see the whole document ---	1-4
Y	WO,A,89 09816 (MASSACHUSETTS INSTITUTE OF TECHNOLOGY) 19 October 1989 see the whole document -----	1-4



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 95/09281

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

- 6 inventions; see cont-sheet PCT/ISA/210

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

2 and 6; partially: 1 and 31

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US95/09281

FURTHER INFORMATION CONTINUED FROM PCT/ISA/210

1. claims 2-6 and (partially) 1,31
2. claims 7,8 and (partially) 1,31
3. claims 9,10 and (partially) 1,31
4. claims 11-23 and (partially) 1,31
5. claims 24-29 and (partially) 1,31
6. claims 30 and (partially) 1,31

1. A method for controlling distribution of cells within a bioartificial organ comprising transforming the cells with a proliferation-promoting gene operatively linked to a regulatable promoter such that expression of the proliferation promoting gene can be inhibited upon in vivo implantation in a host and the bioartificial organ.
2. A method for controlling distribution of cells within a bioartificial organ comprising transforming the cells with a proliferation-suppressing gene operatively linked to a regulatable promoter such that expression of the proliferation-suppressing gene can occur upon in vivo implantation in a host, but can be inhibited in vitro.
3. A method for controlling distribution of cells within a bioartificial organ comprising transforming the cells with a differentiation-inducing gene operatively linked to a regulatable promoter such that expression of the differentiation-inducing gene can occur in vivo implantation in a host, but can be inhibited in vitro and the bioartificial organ.
4. A method for controlling distribution of cells with a bioartificial organ comprising exposing the cells to a proliferation-inhibiting compound or to a differentiation-inducing compound and the bioartificial organ.
5. A method for controlling distribution of cells within a bioartificial organ comprising exposing at least one growth surface within the bioartificial organ to a treatment that promotes or inhibits cell attachment thereto and the bioartificial organ.
6. A method for controlling distribution of cells within a bioartificial organ comprising exposing the cells to a proliferation-inhibiting dose of UV radiation and the bioartificial organ.

-----

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 95/09281

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9109939	11-07-91	AU-B- 636434	29-04-93
		AU-B- 6967891	24-07-91
		CA-A- 2071998	22-06-91
		EP-A- 0506756	07-10-92
		JP-T- 5502377	28-04-93
-----			
WO-A-9528166	26-10-95	AU-B- 2382995	10-11-95
-----			
WO-A-9429442	22-12-94	AU-B- 7108194	03-01-95
		CA-A- 2165162	22-12-94
-----			
WO-A-9113150	05-09-91	AU-B- 3305395	11-01-96
		AU-B- 660604	06-07-95
		AU-B- 7328691	18-09-91
		CA-A- 2076345	21-08-91
		EP-A- 0516664	09-12-92
		JP-T- 5504066	01-07-93
-----			
DE-A-4123629	20-02-92	NONE	
-----			
WO-A-8909816	19-10-89	DE-T- 68907220	27-01-94
		EP-A,B 0428519	29-05-91
		JP-T- 3504799	24-10-91
		US-A- 5338839	16-08-94
		US-A- 5270191	14-12-93
-----			

**THIS PAGE BLANK (USPTO)**